

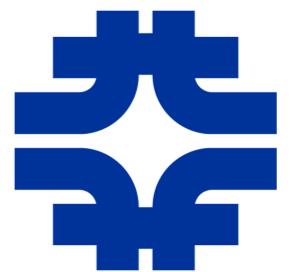
# Effective Field Theory

Lattice Gauge

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Andreas S. Kronfeld  
**Fermilab & IAS TU München**  
Hans Fischer Senior Fellowship Kick-Off Symposium  
26 November 2014

## Goals of the Focus Group



# Broad Perspective

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- We are interested in strongly-coupled, relativistic field theories.
- The poster child (Musterbeispiel) is quantum chromodynamics (**QCD**).
- Two highly successful tools: lattice gauge theory and effective field theory.
- How can these tools be (further) combined to learn more about QCD, and particle physics for which QCD must be understood?
- Magically, relativistic fermions (i.e.,  $E^2 = \mathbf{p}^2 + m^2$ ) can be found in condensed-matter systems:
  - How can our experience with **QCD** illuminate these problems?

# Outline

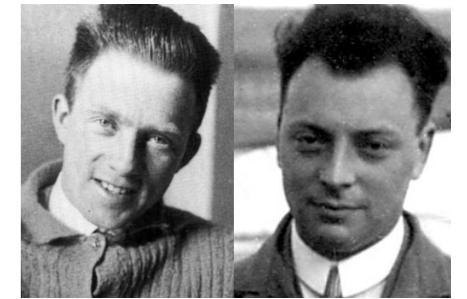
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- Tools: Lattice Gauge Theory & Effective Field Theory
- Physics:
  - Flavor—bringing out the success of lattice QCD
  - Light-cone physics—without the light cone
  - Optical lattices with gauge symmetries
- Short Stories

# LATTICE GAUGE THEORY FOR BEGINNERS



# QFT and a Lattice



- Quantum field theory contains an **uncountably infinite** number of degrees of freedom—in order to count straight some sort of regulator is needed:
  - *In der Tat kann man den Fall kontinuierlich vieler Freiheitsgrade, wo die Zustandsgrößen Raumfunktionen sind, stets durch Grenzübergang aus dem Fall endlich vieler Freiheitsgrade gewinnen.*    **Heisenberg, Pauli, ZPh 56, 1 (1929)**
- Indeed, one can always obtain the case of continuously many degrees of freedom, where the state variables are functions of space, through a limit of the case of finitely many degrees of freedom. (*My translation.*)
- Heisenberg & Pauli used a spatial lattice.
- Feynman's path integral approach to QM discretizes time.

# Lattice Gauge Theory

K. Wilson, *PRD* **10** (1974) 2445

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- Invented to understand asymptotic freedom without the need for gauge-fixing and ghosts [Wilson, [hep-lat/0412043](#)].
- Gauge symmetry on a spacetime lattice:
  - mathematically rigorous definition of **QCD** functional integrals;

$$\langle \bullet \rangle = \frac{1}{Z} \int \mathcal{D}U \mathcal{D}\psi \mathcal{D}\bar{\psi} \exp(-S) [\bullet]$$

- enables theoretical tools of statistical mechanics in quantum field theory and provides a basis for constructive field theory.
- Lowest-order strong coupling expansion demonstrates confinement.

# Numerical Lattice QCD

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- Nowadays “lattice **QCD**” usually implies a numerical technique, in which the functional integral is integrated numerically on a computer.

- Big computers:

- Some compromises:



- finite human lifetime  $\Rightarrow$  Wick rotate to Euclidean time:  $x^4 = ix^0$ ;
- finite memory  $\Rightarrow$  finite space volume & finite time extent;
- finite CPU power  $\Rightarrow$  light quarks until recently heavier than up and down.

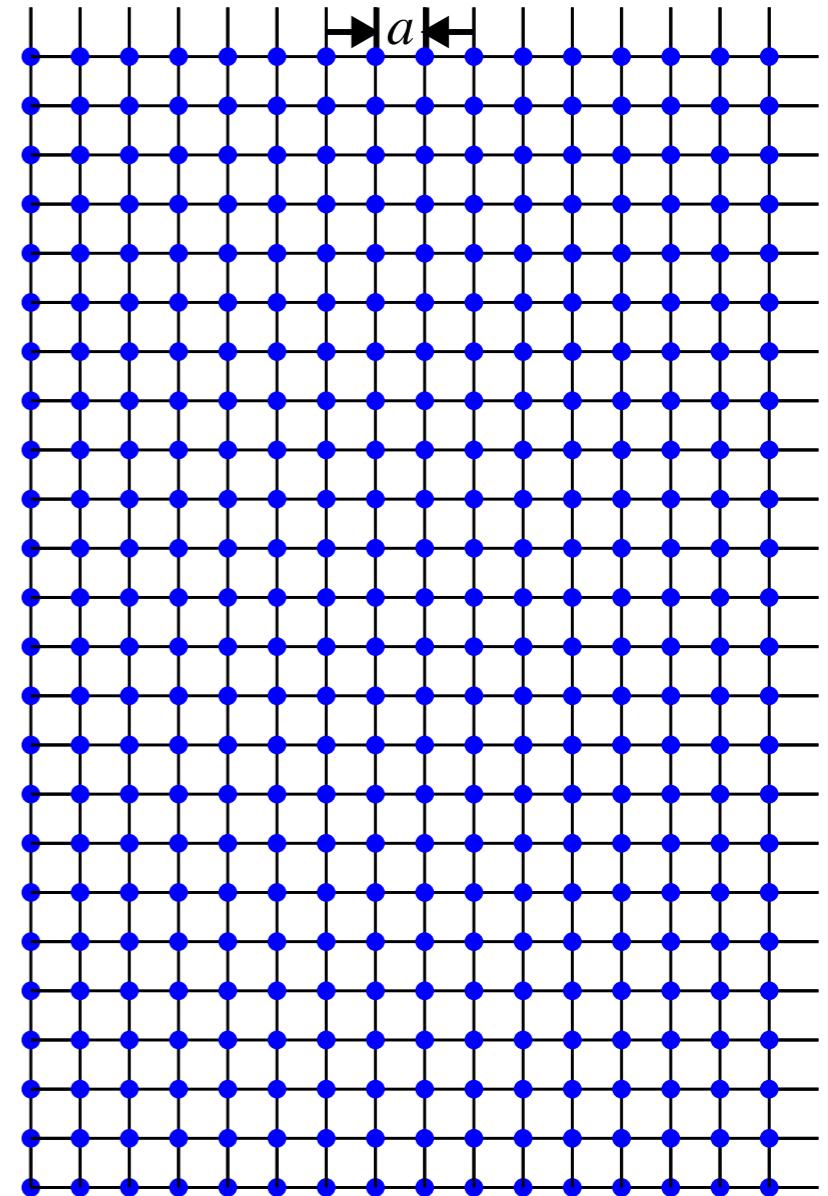
# Lattice Gauge Theory

$$\langle \bullet \rangle = \frac{1}{Z} \int \mathcal{D}U \mathcal{D}\psi \mathcal{D}\bar{\psi} \exp(-S) [\bullet]$$

$$= \frac{1}{Z} \int \mathcal{D}U \text{ Det}(\not{D} + m) \exp(-S_{\text{gauge}}) [\bullet']$$

- Infinite continuum: uncountably many d.o.f. ( $\Rightarrow$  UV divergences);
- Infinite lattice: countably many; used to define QFT;
- Finite lattice: finite dimension  $\sim 10^8$ , so compute integrals numerically.

$$L_4 = N_4 a$$



$$L = N_S a$$

# Lattice Gauge Theory

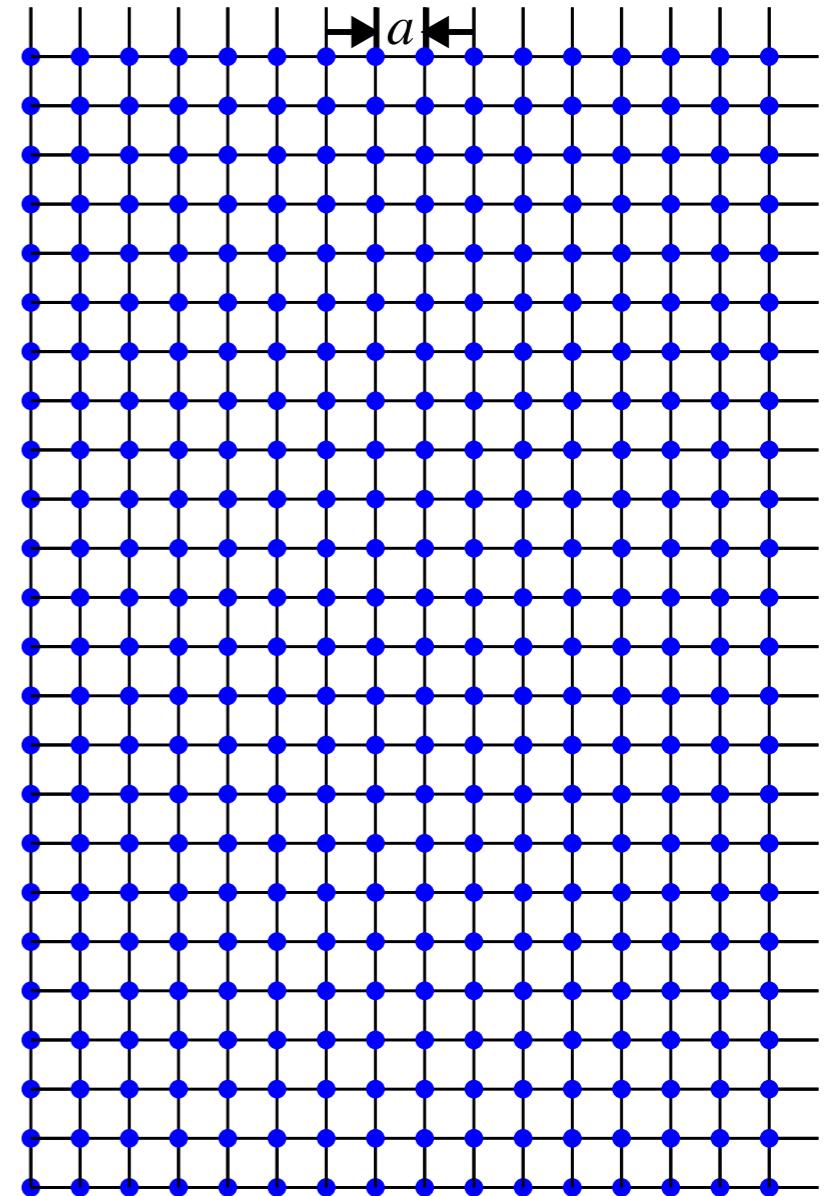
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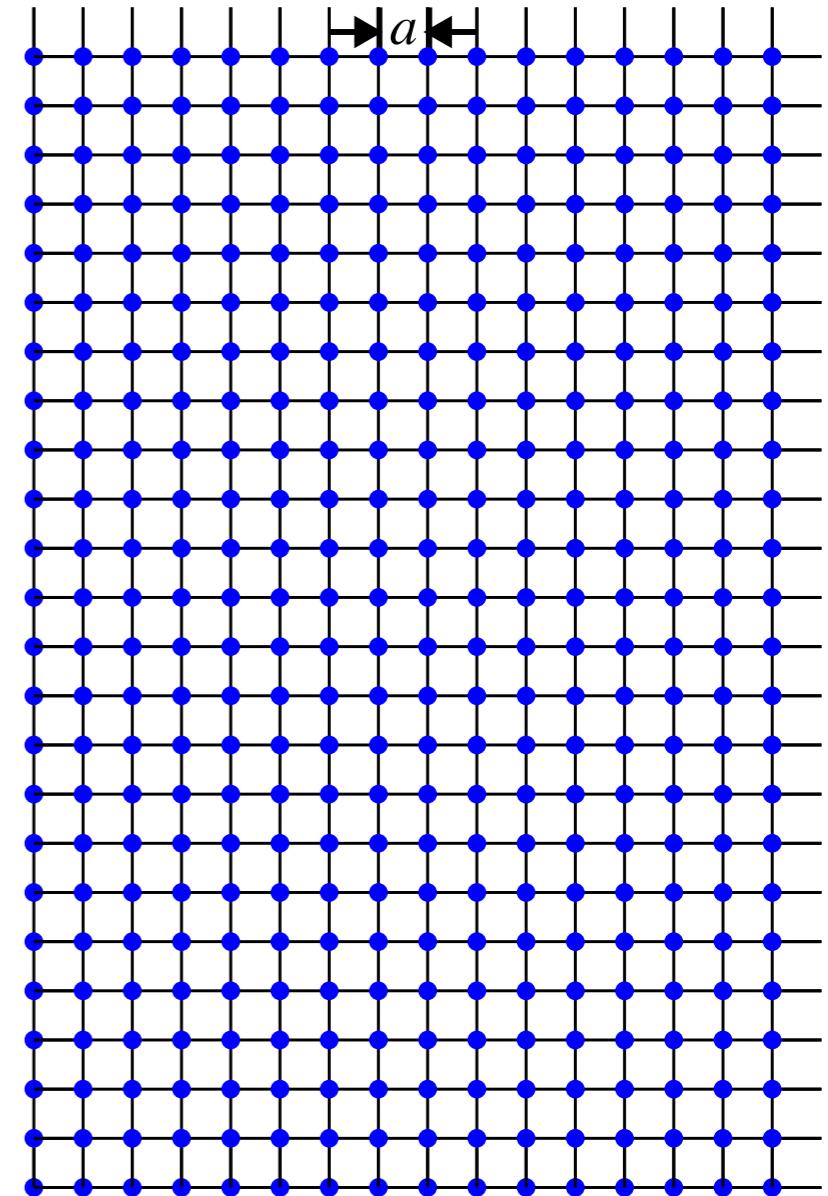
# Lattice Gauge Theory

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# Some algorithmic issues

e.g., ASK, [hep-lat/0205021](#)

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- lattice  $N_S^3 \times N_4$ , spacing  $a$
- memory  $\propto N_S^3 N_4 = L_S^3 L_4 / a^4$
- $\tau_g \propto a^{-(4+z)}$ ,  $z = 1$  or 2.
- $\tau_q \propto (m_q a)^{-p}$ ,  $p = 1$  or 2.
- Imaginary time:
- static quantities
- size  $L_S = N_S a$ ,  $L_4 = N_4 a$ ;
- dimension of spacetime = 4
- critical slowing down
- especially **dire** with sea quarks
- thermodynamics:  $T = 1/N_4 a$

$$\langle \bullet \rangle = \frac{1}{Z} \int \mathcal{D}U \mathcal{D}\Psi \mathcal{D}\bar{\Psi} \exp(-S) [\bullet]$$
$$= \text{Tr}\{\bullet e^{-\hat{H}/T}\} / \text{Tr}\{e^{-\hat{H}/T}\}$$

- Computationally most demanding part of lattice QCD is  $\text{Det}(\mathcal{D} + m)$ , which corresponds to the sea quarks.
- First watershed of this century was to include the light sea exactly.
- Nowadays need only to discuss results with
  - $n_f = 2+1$ : strange sea + 2 **as light as possible for up and down**;
  - $n_f = 2+1+1$ : add charmed sea to 2+1.
- In recent (and, hence, coming) years, “**as light as possible for up and down**” means “**as light as up and down in Nature**”.
- Second watershed of this century.

# Correlators Yield Masses & Matrix Elements

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- Two-point functions for masses  $\pi(t) = \bar{\Psi}_u \gamma_5 S \Psi_d$ :

$$\langle \pi(t) \pi^\dagger(0) \rangle = \sum_n |\langle 0 | \hat{\pi} | \pi_n \rangle|^2 \exp(-m_{\pi_n} t)$$

- Two-point functions for decay constants:

$$\langle J(t) \pi^\dagger(0) \rangle = \sum_n \langle 0 | \hat{J} | \pi_n \rangle \langle \pi_n | \hat{\pi}^\dagger | 0 \rangle \exp(-m_{\pi_n} t)$$

- Three-point functions for form factors, mixing:

$$\begin{aligned} \langle \pi(t) J(u) B^\dagger(0) \rangle &= \sum_{mn} \langle 0 | \hat{\pi} | \pi_m \rangle \langle \pi_n | \hat{J} | B_m \rangle \langle B_m | \hat{B}^\dagger | 0 \rangle \\ &\quad \times \exp[-m_{\pi_n}(t-u) - m_{B_m} u] \end{aligned}$$

- LHS needs supercomputers; RHS needs students, postdocs, junior faculty.

# The QCD Lagrangian

H. Fritzsch, M. Gell-Mann, H. Leutwyler, *PLB* **47** (1973) 365

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- SU(3) gauge symmetry and  $1 + n_f + 1$  parameters:

$$\begin{aligned}\mathcal{L}_{\text{QCD}} = & \frac{1}{g_0^2} \text{tr}[F_{\mu\nu} F^{\mu\nu}] \\ & - \sum_f \bar{\Psi}_f (\not{D} + m_f) \Psi_f \\ & + \frac{i\theta}{32\pi^2} \epsilon^{\mu\nu\rho\sigma} \text{tr}[F_{\mu\nu} F_{\rho\sigma}]\end{aligned}$$

- Observable CP violation  $\propto \vartheta = \theta - \arg \det m_f$  (if all masses nonvanishing):
  - neutron electric-dipole moment sets limit  $\vartheta \lesssim 10^{-11}$ ;
  - bafflingly implausible cancellation called the **strong CP problem**.

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$$\begin{aligned}\mathcal{L}_{\text{QCD}} = & \frac{1}{g_0^2} \text{tr}[F_{\mu\nu} F^{\mu\nu}] && r_1 \text{ or } m_\Omega \text{ or } Y(2S-1S), \dots \\ & - \sum_f \bar{\Psi}_f (\not{D} + m_f) \Psi_f && m_\pi, m_K, m_{J/\psi}, m_Y, \dots \\ & + \frac{i\theta}{32\pi^2} \epsilon^{\mu\nu\rho\sigma} \text{tr}[F_{\mu\nu} F_{\rho\sigma}] && \theta = 0.\end{aligned}$$

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# Numerical Lattice Gauge Theory

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- The lattice provides a UV cutoff; a finite volume provides an IR cutoff; a finite Euclidean time leads to a nonzero temperature.
- Write a random number generator to create lattice gauge fields distributed with the weight of the functional integral.
- Fit correlations functions to get masses and matrix elements.
- Repeat several times while varying bare gauge coupling and bare masses.
- Find a trajectory with constant pion, kaon,  $D_s$ ,  $B_s$ , masses (one for each quark) in dimensionless but physical units and obtain the continuum limit.
- Convert units to MeV.

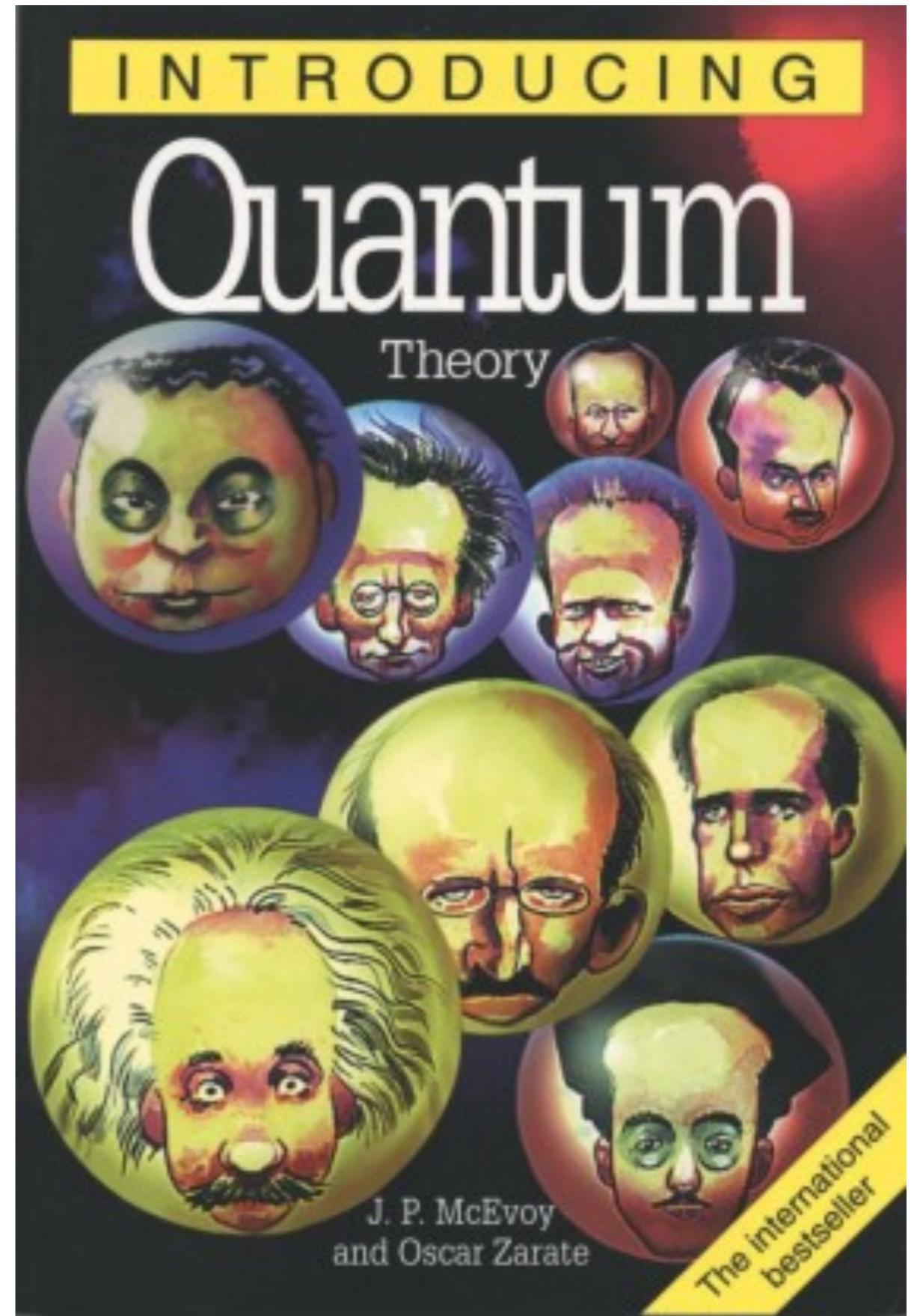
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  - Write a random number generator to create lattice gauge fields distributed with the weight of the functional integral.
  - Fit correlations functions to get masses and matrix elements.
  - Repeat several times while varying bare gauge coupling and bare masses.
  - Find quarkonium mass in different dimensions (quark)
  - Convert units to MeV.
- requires wisdom and effective field theory

# INTRODUCING

## Effective Field Theory



# Effective Field Theories



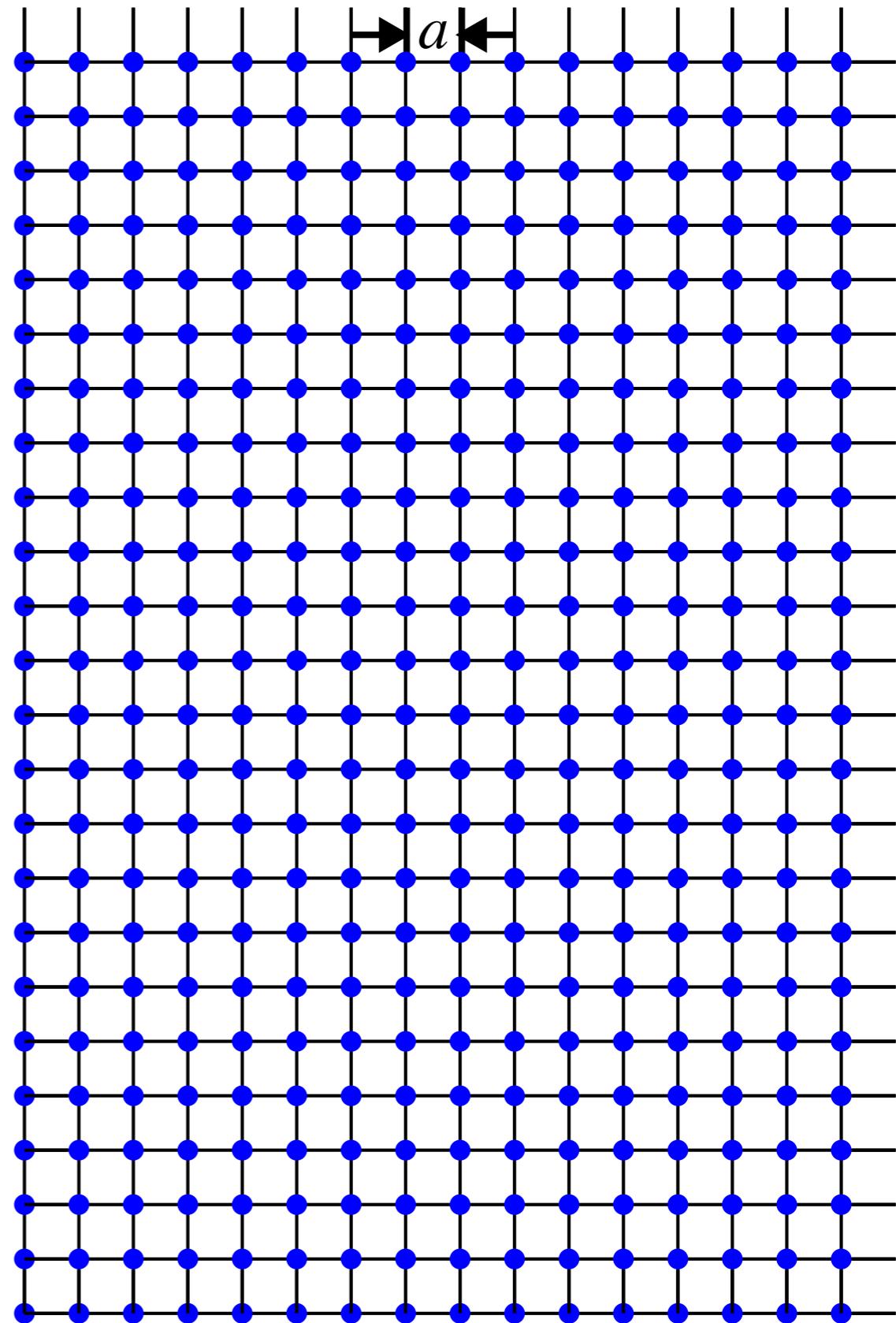
- An implementation of the renormalization group:
  - separating different length scales (equivalently energy scales);
  - can “integrate out” short-distance physics, lumping those effects into coefficient functions (Wilson);
  - can use physical reasoning to deduce relevant long-distance degrees of freedom, and parametrize with a general Lagrangian (Weinberg).
- Separation of scales is the key: can use the most appropriate theoretical tool at each length scale:
  - e.g., lattice gauge theory for  $\Lambda_{\text{QCD}}$ , perturbative EFT for shorter distances.

# Apt Examples

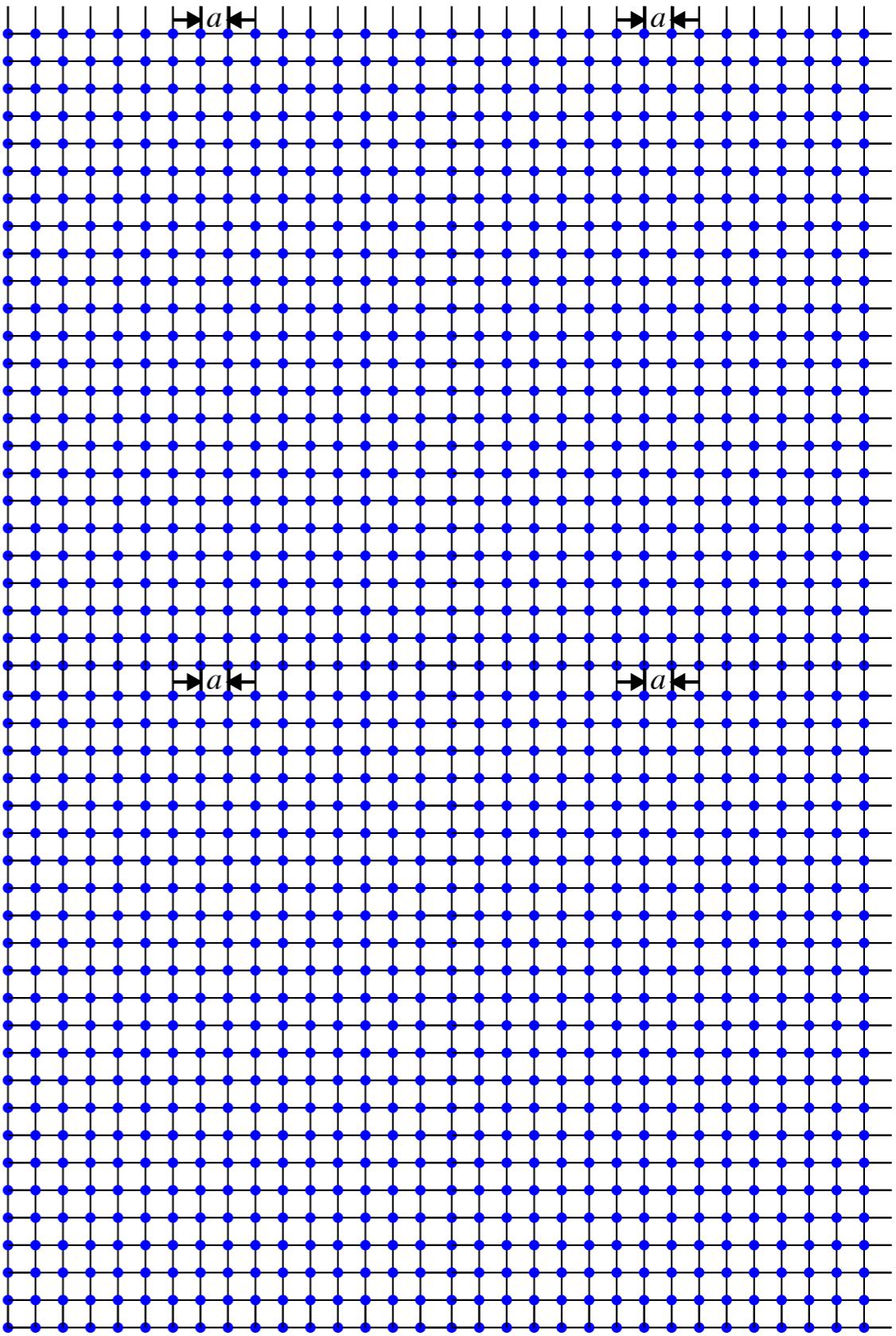
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- Heavy-quark effective theory for hadrons with a single heavy quark:  $m_Q$ ,  $\Lambda_{\text{QCD}}$ .
- Non-relativistic QCD for quarkonium:  $m_Q$ ,  $m_Q v$ ,  $m_Q v^2$ ,  $m_Q v^4$ , ...,  $\alpha_s \sim v$ .
  - HQET & NRQCD “share” the same Lagrangian, but the physics requires different power counting.
- Potential NRQCD (and related EFTs), when  $m_Q v$ ,  $m_Q v^2$ , ... lie at short enough distances for perturbative QCD to be reliable.
- Soft-collinear effective theory for highly energetic (jets of) particles: not yet formulated in a “Euclid friendly” way, so no connection to lattice QCD yet.

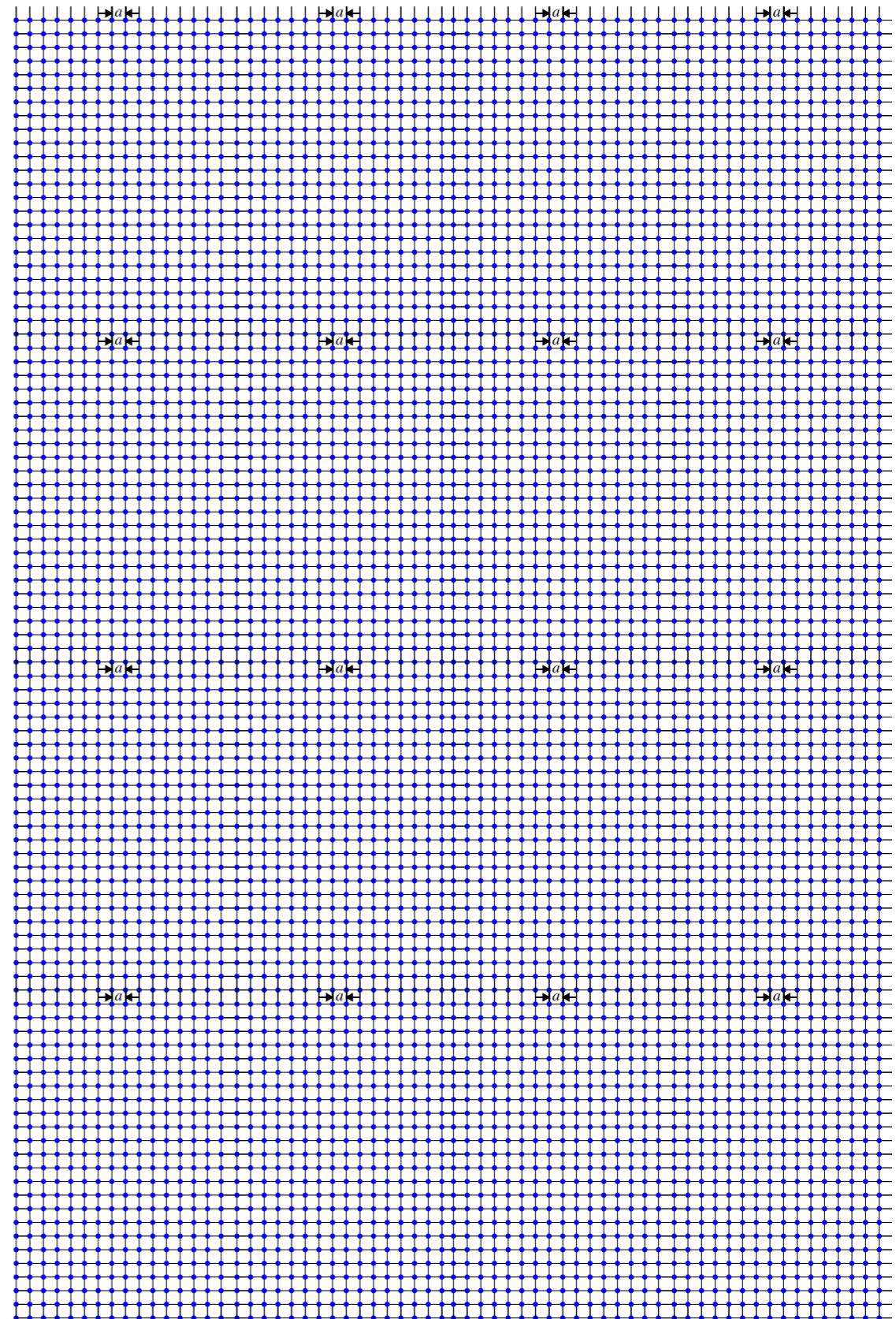
# Effective Field Theory Lattice



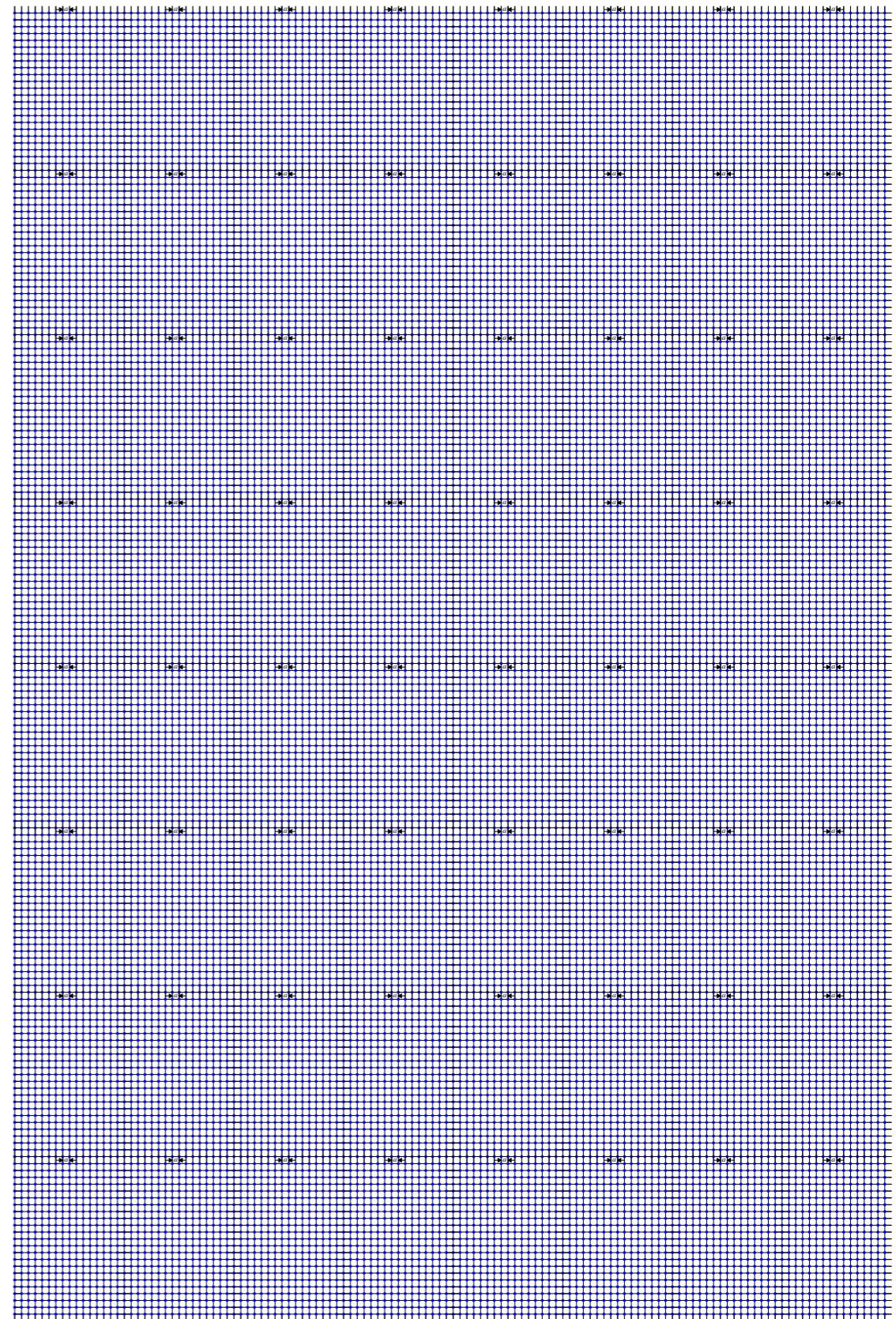
# Effective Field Theory Lattice Gauge



# Effective Field Theory Gauge Lattice



# Effective Field Theory Lattice Gauge



# Effective Field Theory

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- The lattice provides a UV cutoff: Symanzik effective field theory.
- The finite volume provides an IR cutoff: effective field theory in a box:
  - loop integrals become finite sums;
  - these effects are either v. small or v. useful (absorptive parts).
- Sometimes the light quarks aren't light enough: chiral perturbation theory:
  - replace the computer's pion cloud with Nature's.
- Sometimes heavy-quark masses have  $m_Q a \approx 1$ : HQET or NRQCD.

# Validating the Paradigm

# How to validate a method ...

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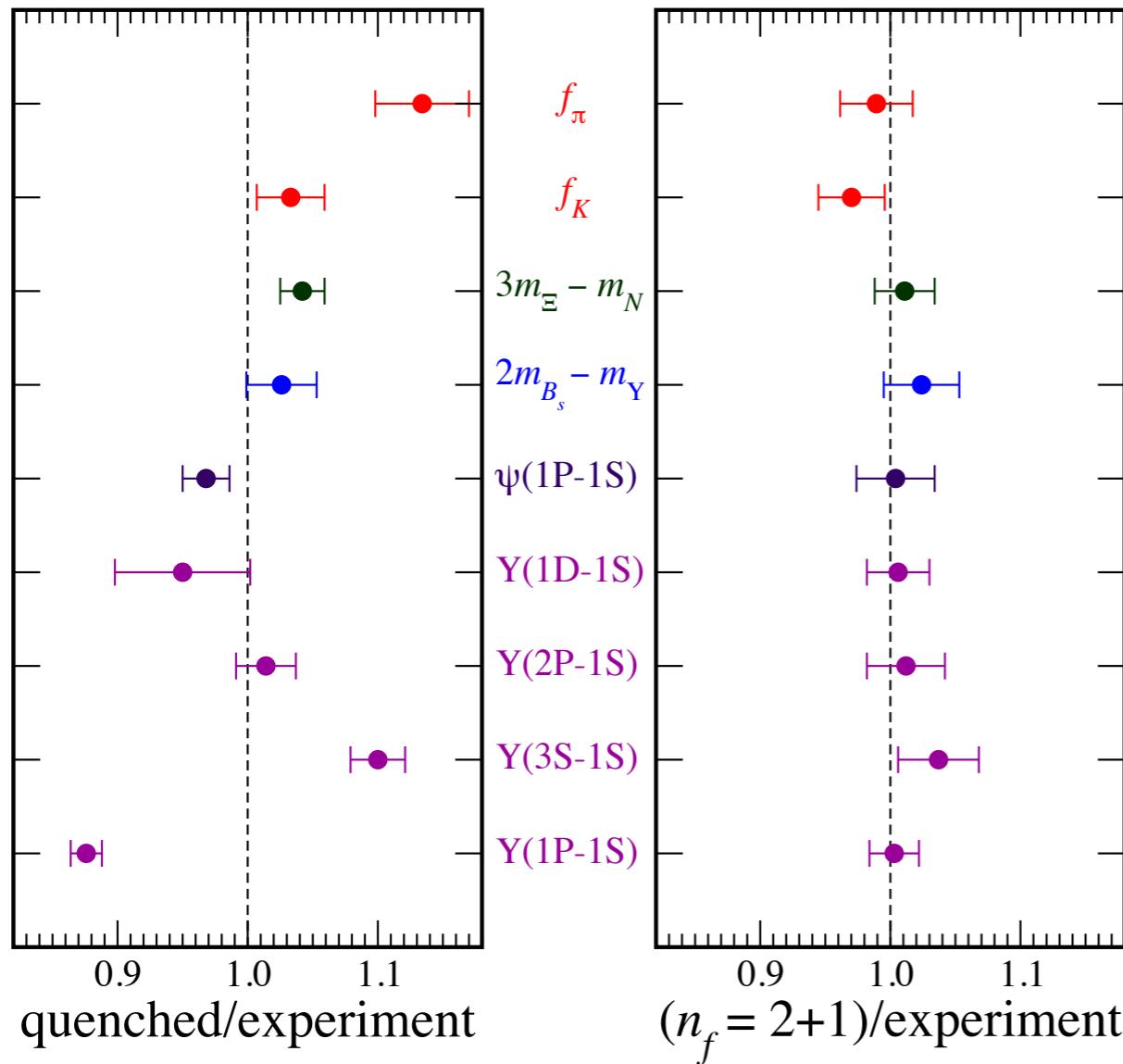
- Quantum chromodynamics with UV and IR cutoffs, with extrapolations guided by renormalization & effective field theory ideas.
- Monte Carlo codes tested numerous ways (unit tests; reproducibility).
- Theoretical checks of programs, e.g., Dirac eigenvalues satisfy various low-energy theorems.
- Hadron spectrum and other postdictions.
- Genuine predictions: compute something not yet well measured:
  - decay constant  $f_D$ ,  $D \rightarrow Klv$  form factor,  $B_c$  and  $\eta_b$  masses, ....

as much  
a test of  
practitioners



# Postdictions

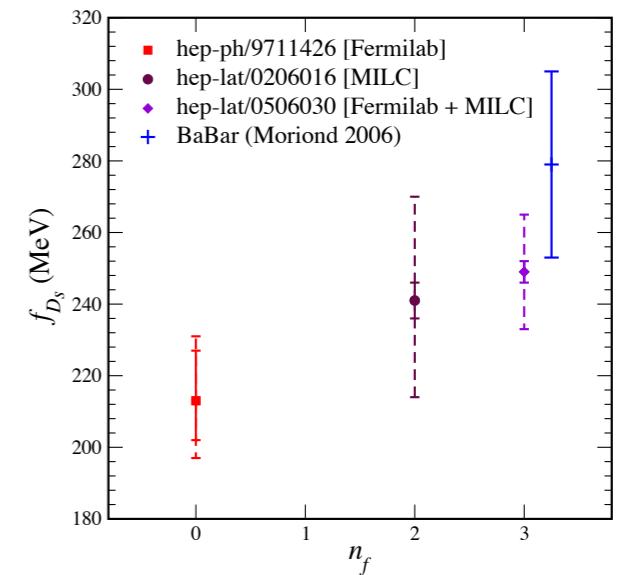
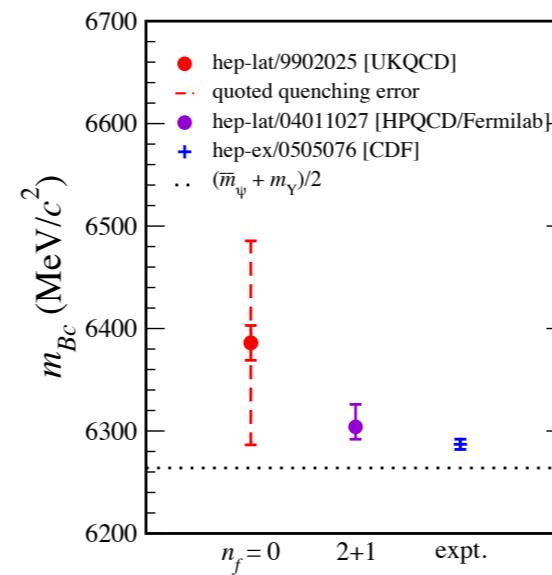
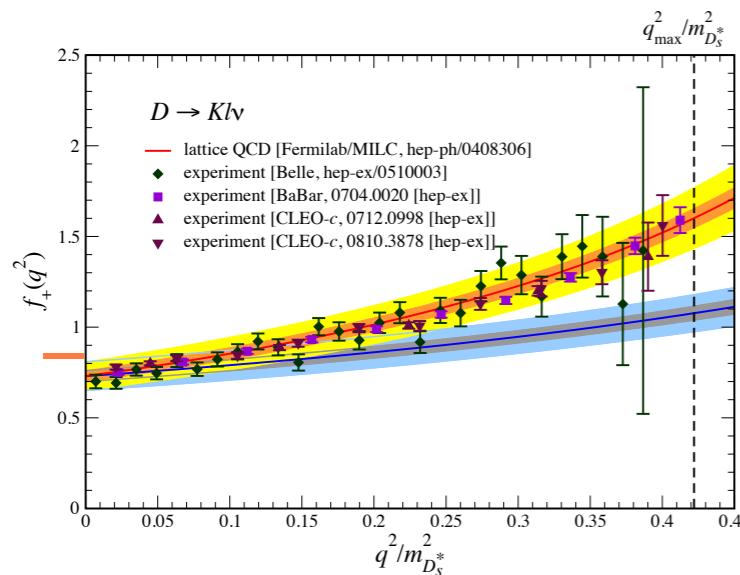
HPQCD, MILC, Fermilab Lattice, hep-lat/0304004



- $a = 0.12 \text{ & } 0.09 \text{ fm};$
- $O(a^2)$  improved:  
asqtad;
- FAT7 smearing;
- $2m_l < m_q < m_s;$
- $\pi, K, Y(2S-1S)$  input.

# Predictions

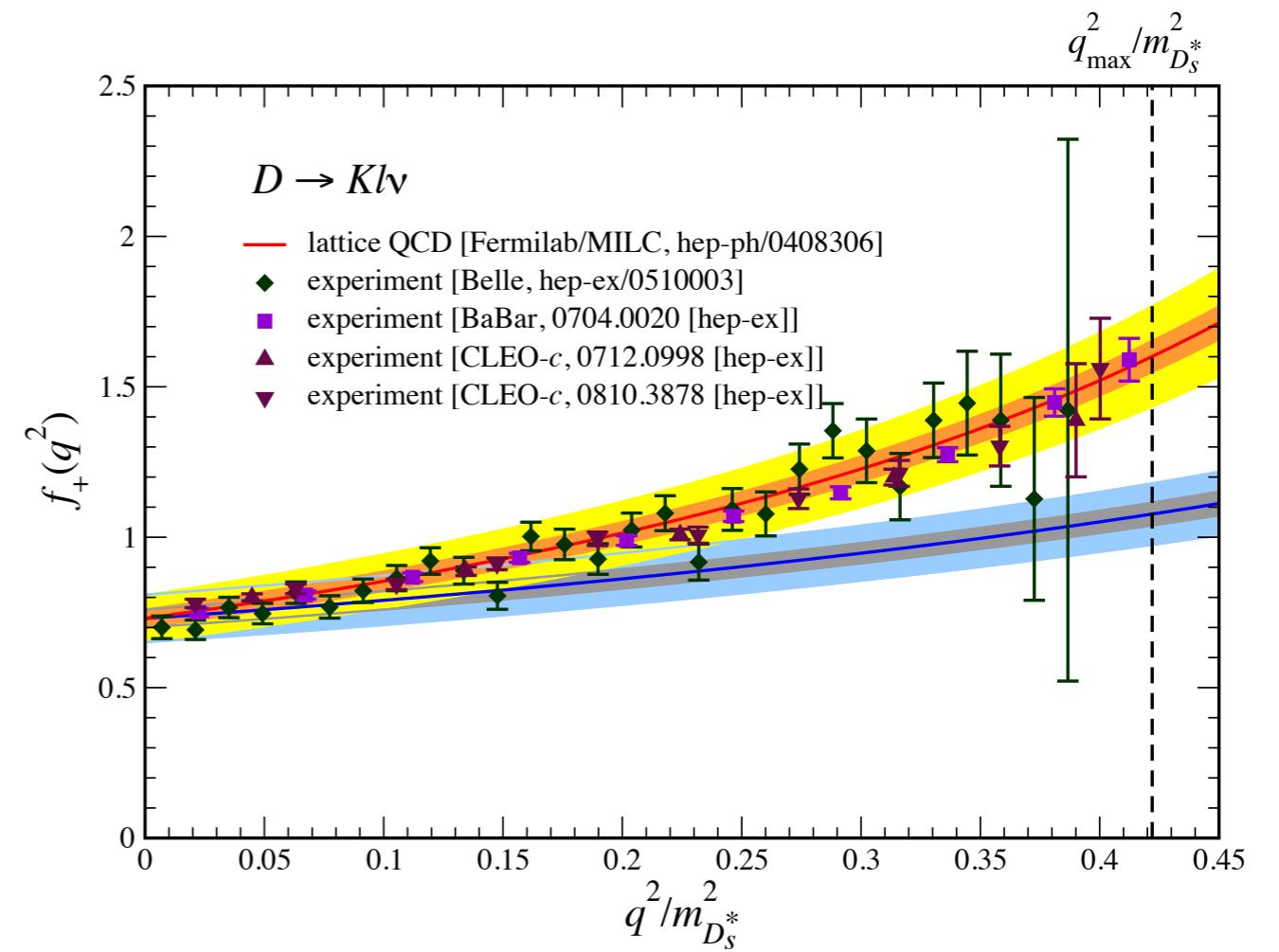
Fermilab Lattice, MILC, HPQCD, [hep-lat/0607011](#)



- Semileptonic form factor for  $D \rightarrow Kl$ : [hep-ph/0408306](#)—updates: [arXiv:1008.4562](#) (normalization), [arXiv:1305.1462](#) (shape);
- Charmed-meson decay constants: [hep-lat/0506030](#)—updates below;
- Mass of  $B_c$  meson: [hep-lat/0411027](#)—updates: [arXiv:0909.4462](#), [arXiv:1010.3848](#) ( $M_{B_c^*} = 6.330(9)$  GeV), [arXiv:1207.5149](#) ( $M_{B_c'} = 6.89(2)$  GeV).

# An Old Result

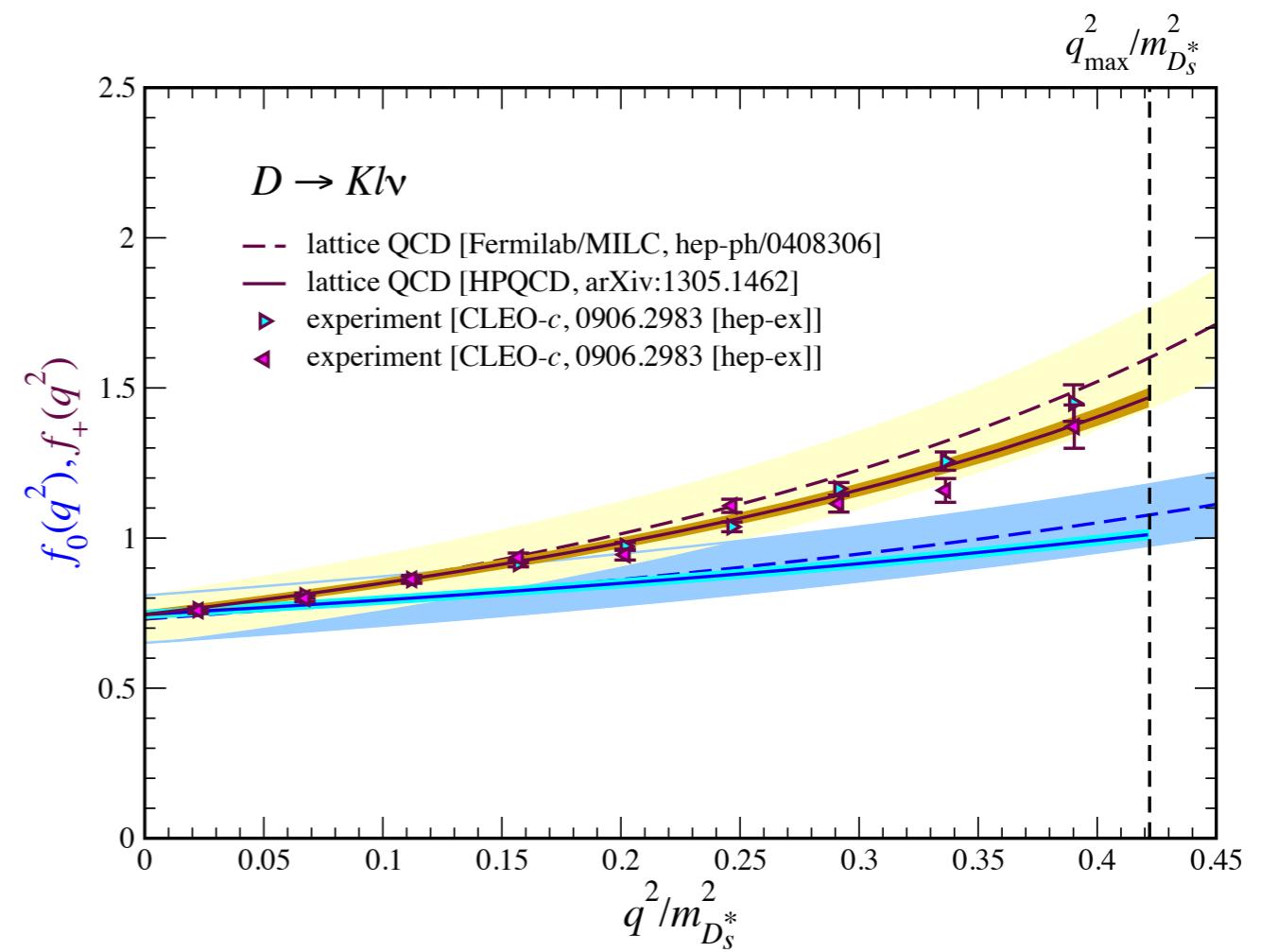
- FOCUS, Belle, BaBar, and CLEO validated the prediction of 2004 lattice QCD.
- Take  $|V_{cs}|$  from CKM unitarity.
- Similar story for  $D \rightarrow \pi l \nu$ .



# A New Result

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- The most precise experimental data (from CLEO-c) are on the lower edge of the 2004 result.
- But today's lattice QCD is more precise than before.
- A new calculation [[arXiv:1305.1462](#), HPQCD] lands in the same place.
- Rather than using CKM as a check, it is now used to determine  $|V_{csl}|$ .
- Follows a procedure like that used to determine  $|V_{ubl}|$  from  $B \rightarrow \pi l\nu$ .

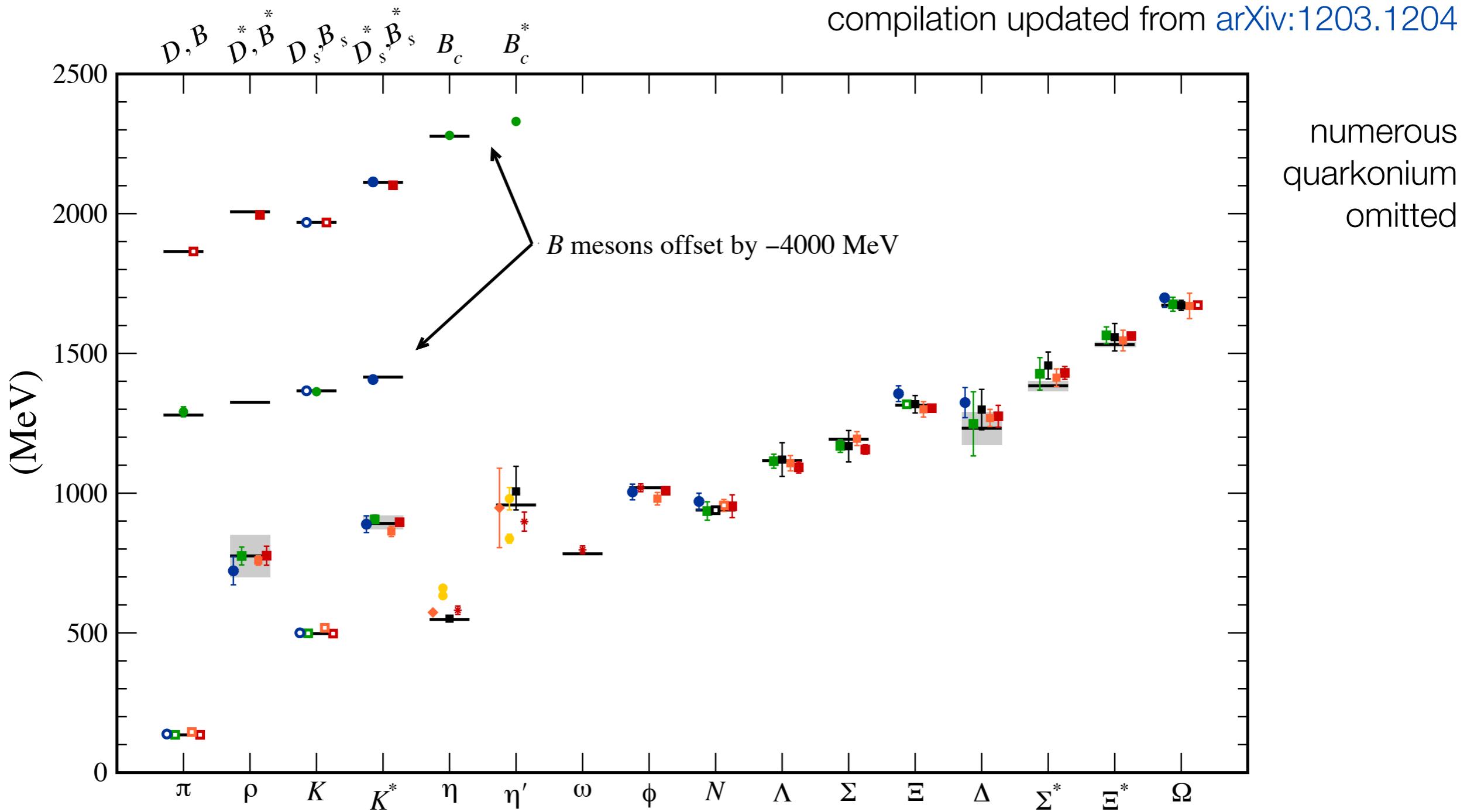


$\pi \dots \Omega$ : BMW, MILC, PACS-CS, QCDSF, ETM (2+1+1);

$\eta - \eta'$ : RBC, UKQCD, Hadron Spectrum ( $\omega$ );

$D, B$ : Fermilab, HPQCD, Mohler&Woloshyn

# QCD Hadron Spectrum

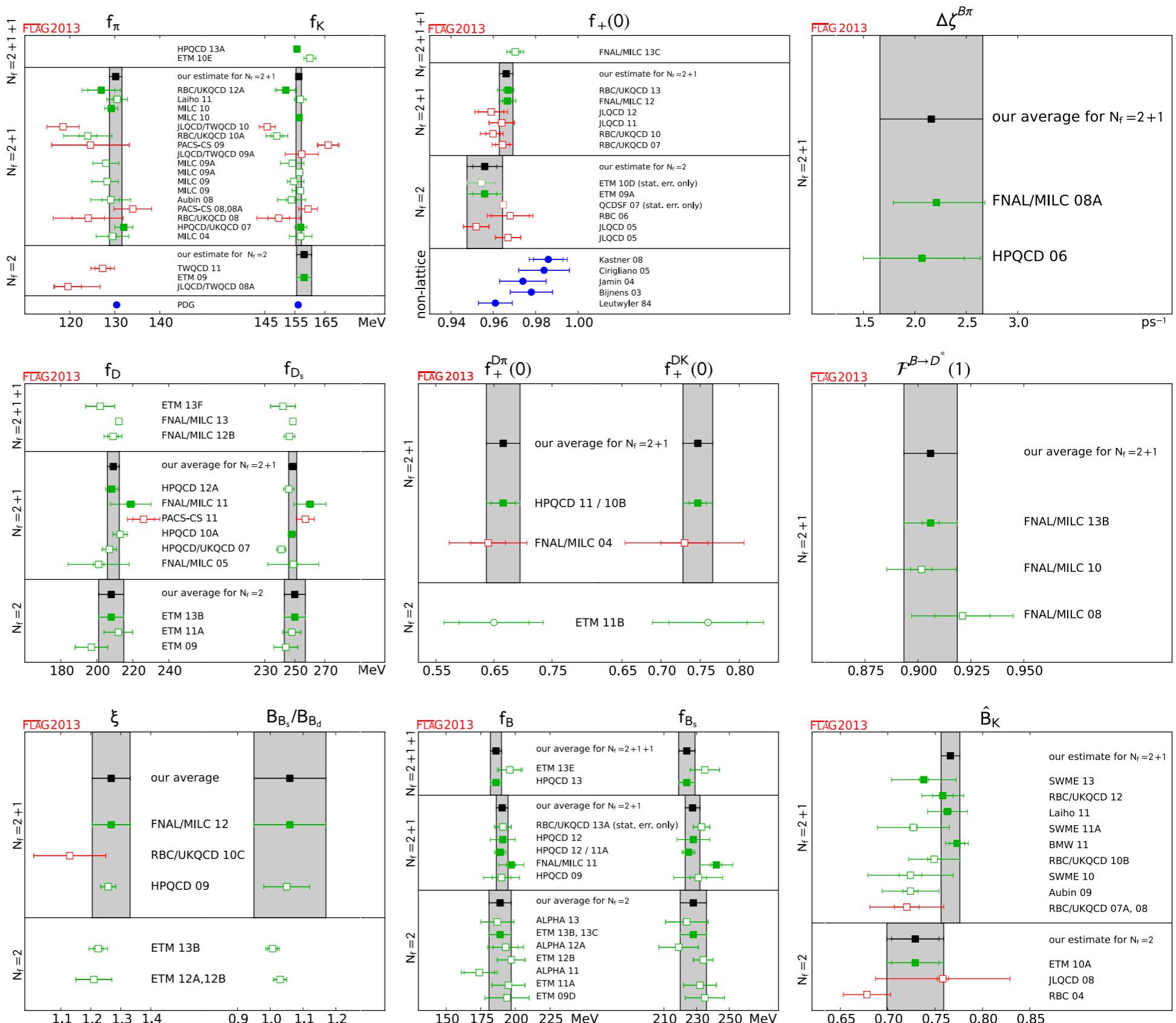


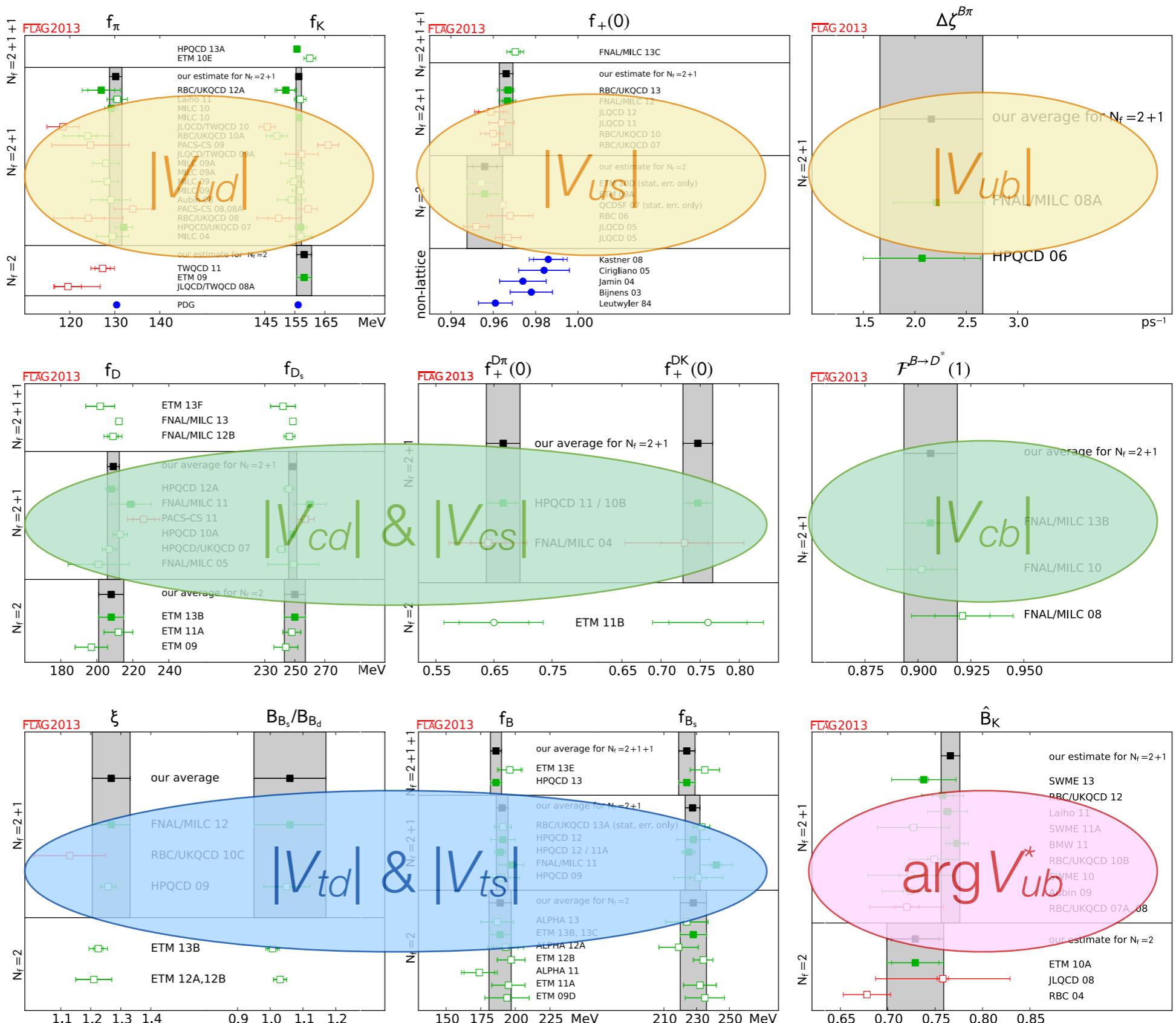
# Flavor Physics

# CKM and Lattice QCD

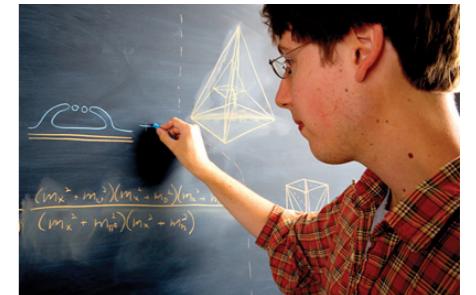
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- Gold-plated quantities available to (over)determine CKM matrix.
- Further matrix elements for flavor-changing neutral currents—
  - which arise in many extensions of the Standard Model.
- Flavor Lattice Averaging Group :
  - self-selected group drawn from most major lattice QCD collaborations;
  - sets quality criteria and produces averages of quantities germane to CKM;
  - compare & contrast to the PDG perhaps 30 years ago.





# Semileptonic $B \rightarrow D^* l \bar{\nu}$ for $|V_{cb}|$



- Like  $|V_{us}|$ ,  $|V_{cb}|$  appears everywhere, e.g., in FCNC rare kaon decays.

- We find

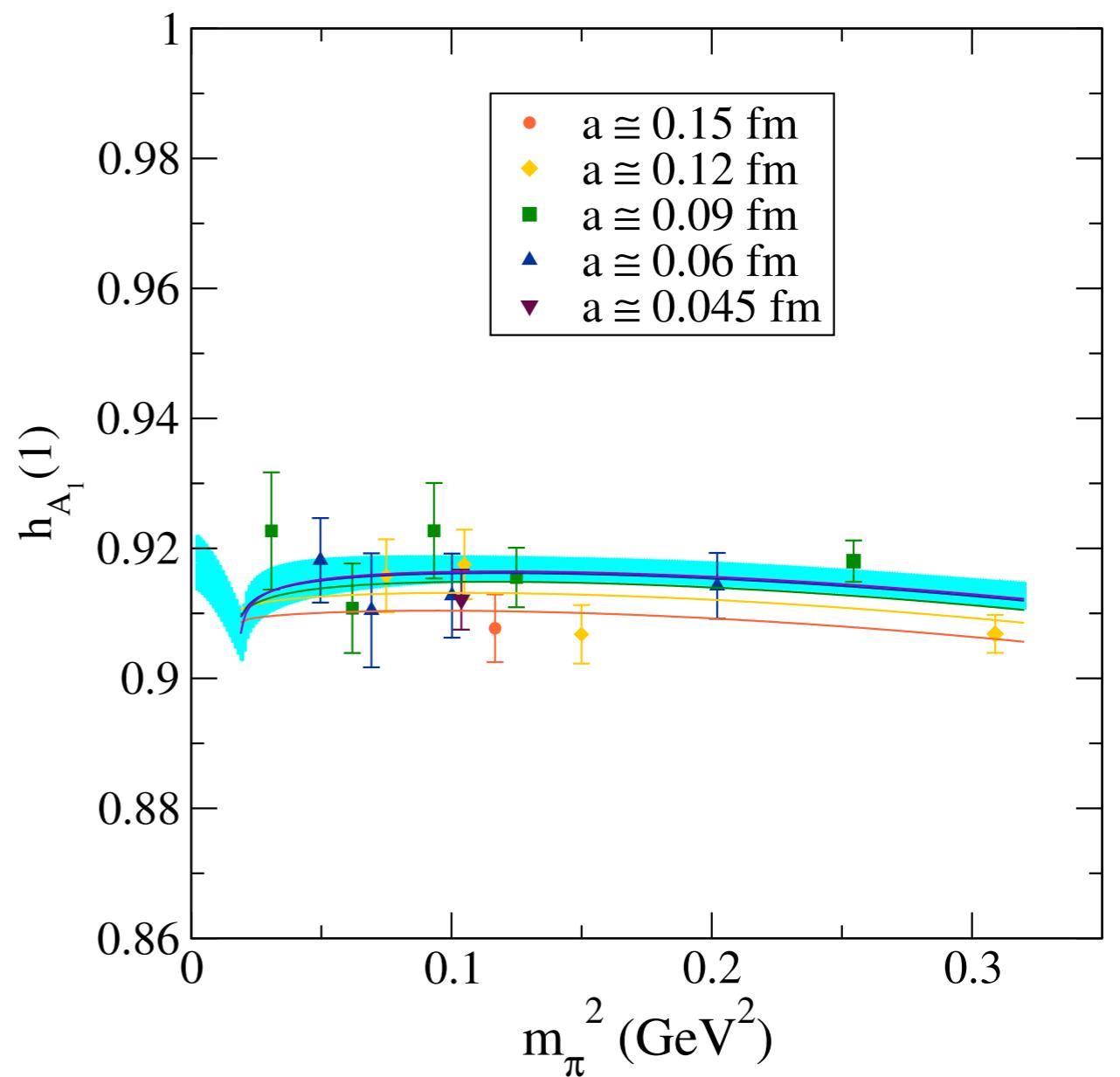
$$\mathcal{F}(1) = 0.906 \pm 0.004 \pm 0.012$$

or

$$10^3 |V_{cb}| = 39.04 \pm 0.49_{\text{expt}} \pm 0.53_{\text{QCD}} \pm 0.19_{\text{QED}}$$

- QCD error as small as expt; as small as inclusive-decay method.

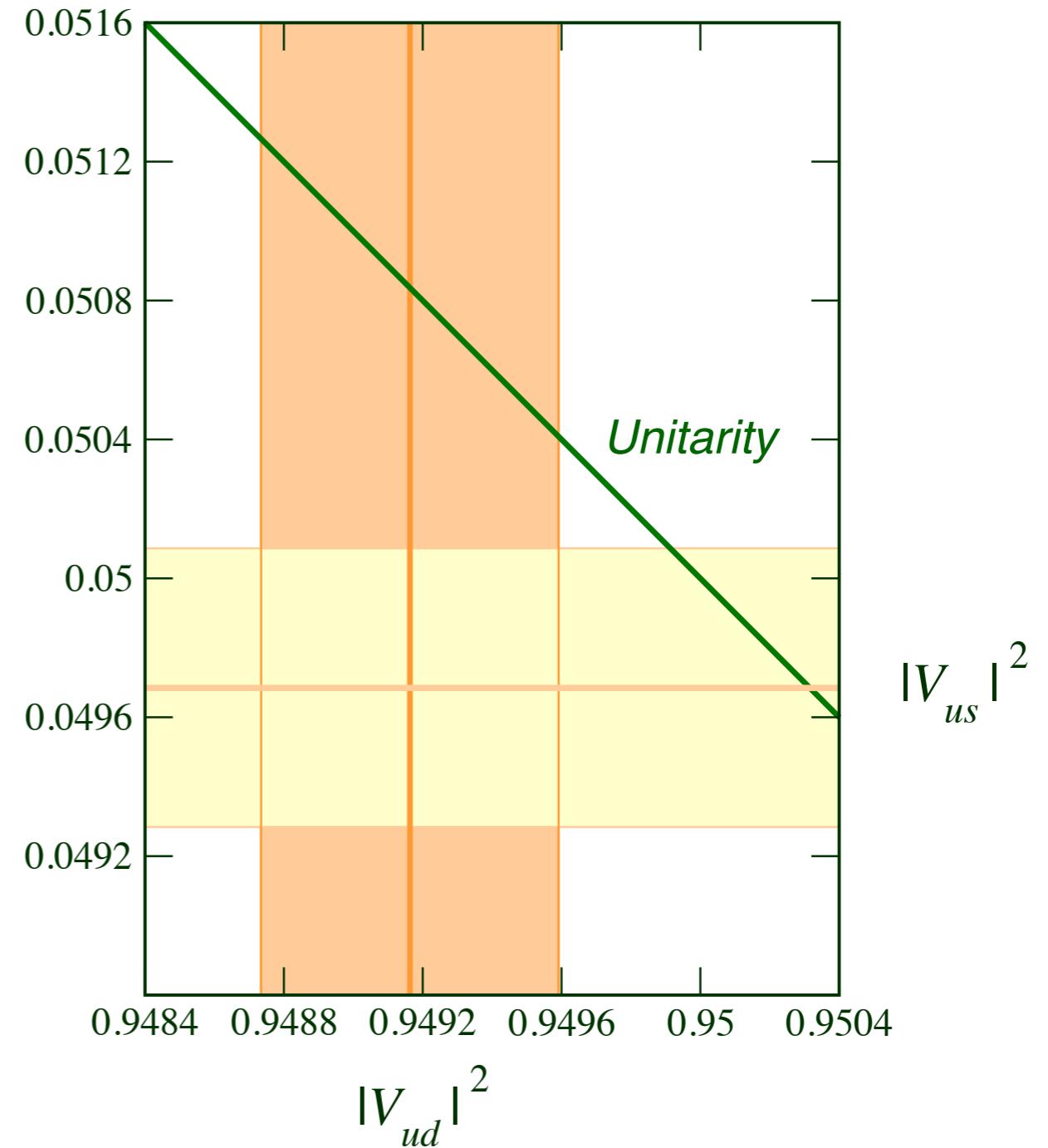
$\chi^2/\text{d.o.f.} = 0.73$ , p-value = 0.78





# Semileptonic $K \rightarrow \pi l \nu$ for $|V_{us}|$

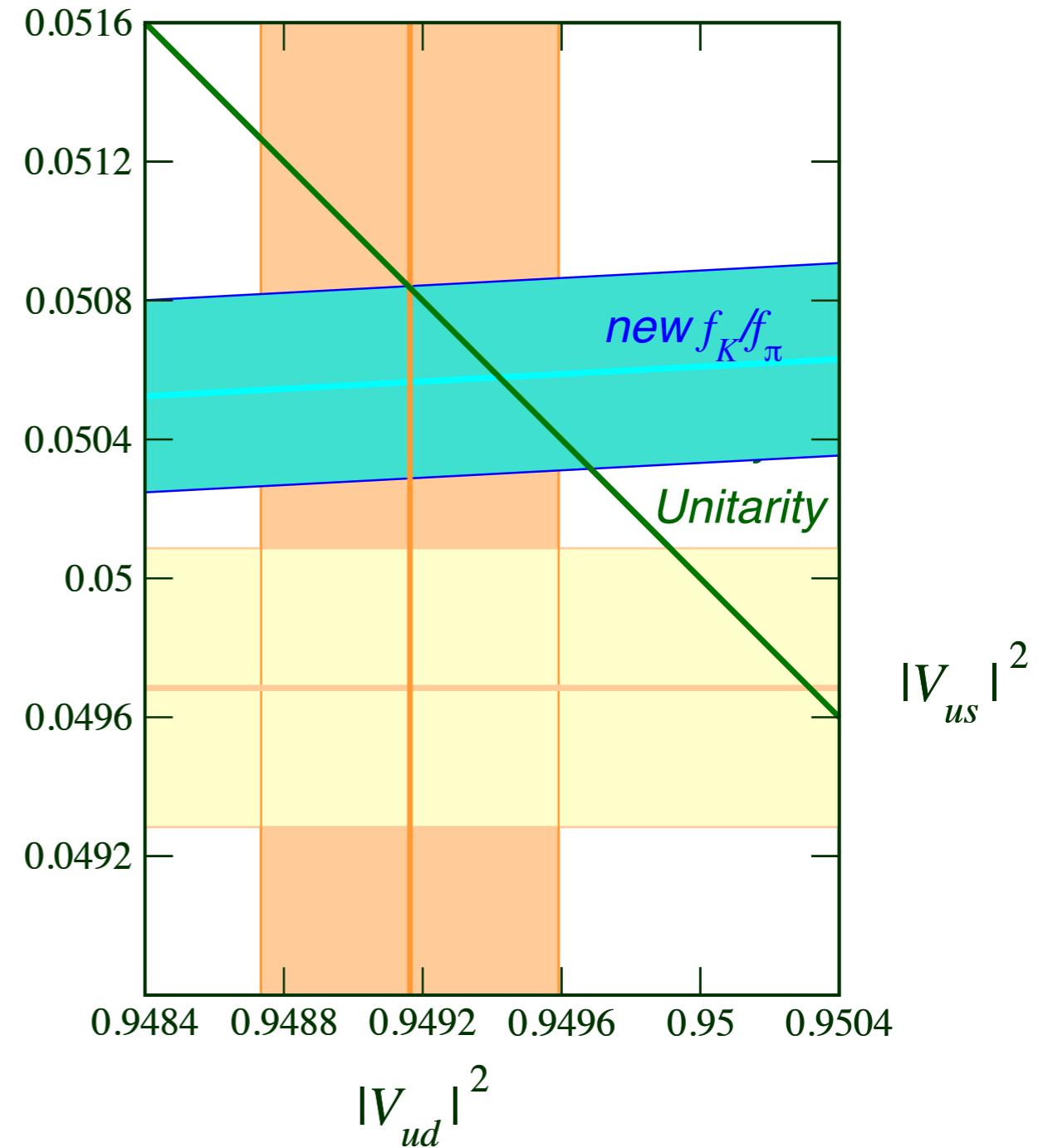
- aka the Cabibbo angle.
- Appears throughout flavor physics.
- esp. in first-row unitarity test:  
 $|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = 1?$
- Now  $|V_{us}|^2$  is as precise as  $|V_{ud}|^2$  (from nuclear physics).
- Similar precision from  $f_K/f_\pi$  [[arXiv:1407.3772](https://arxiv.org/abs/1407.3772)].

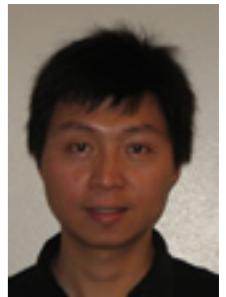




# Semileptonic $K \rightarrow \pi l \nu$ for $|V_{us}|$

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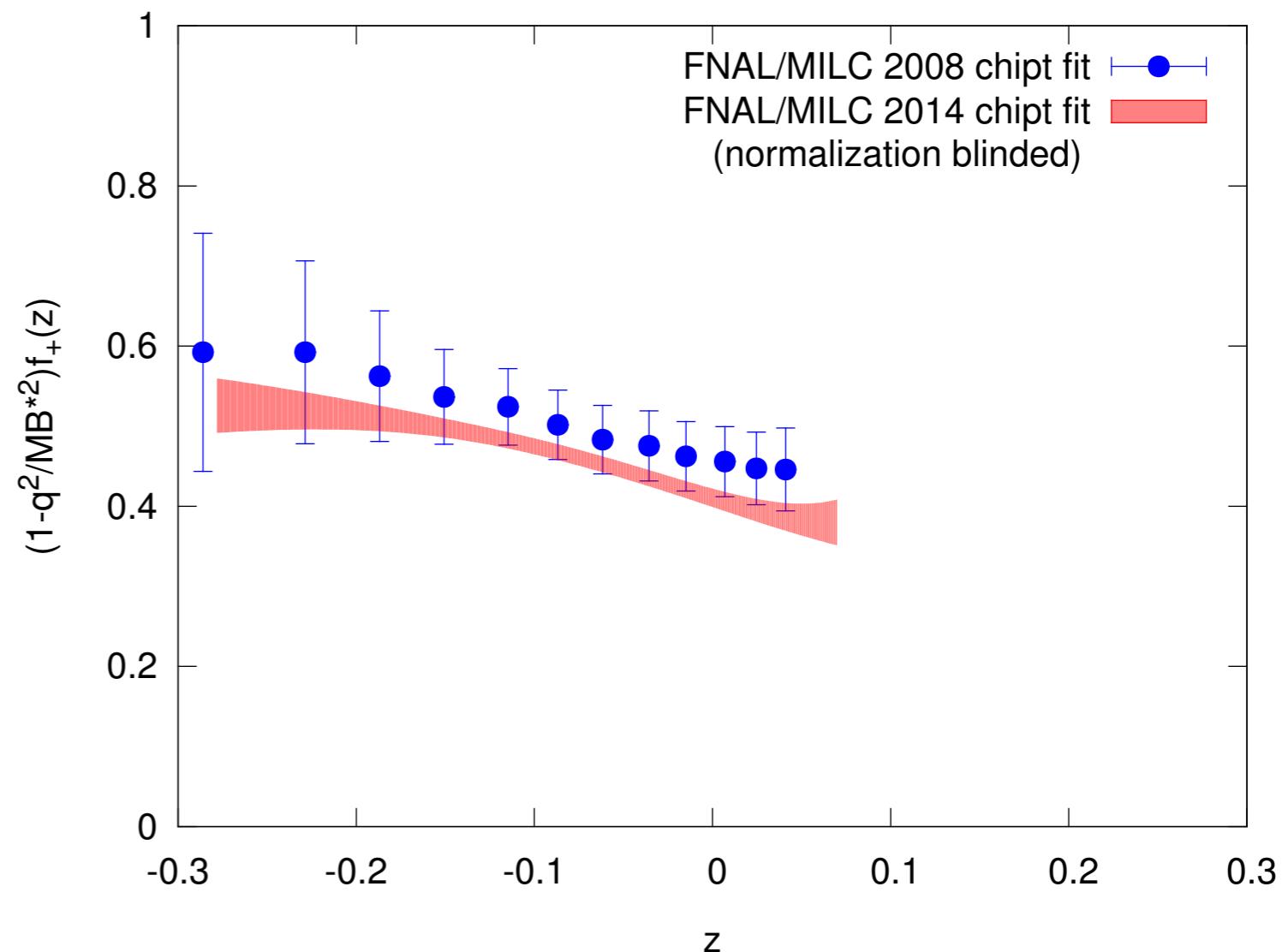


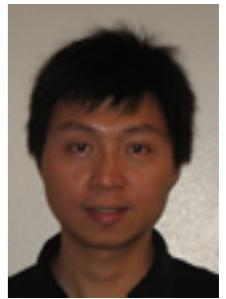


# Semileptonic $B \rightarrow \pi l \nu$ for $|V_{ub}|$

- Much more precise than 2008.
- **PRELIMINARY! BLINDED!!**
- $z$  variable extends range.
- Functional fitting method.
- Relative norm'n yields  $|V_{ub}|$ .
- Total error on  $|V_{ub}|$ : 4.1%.

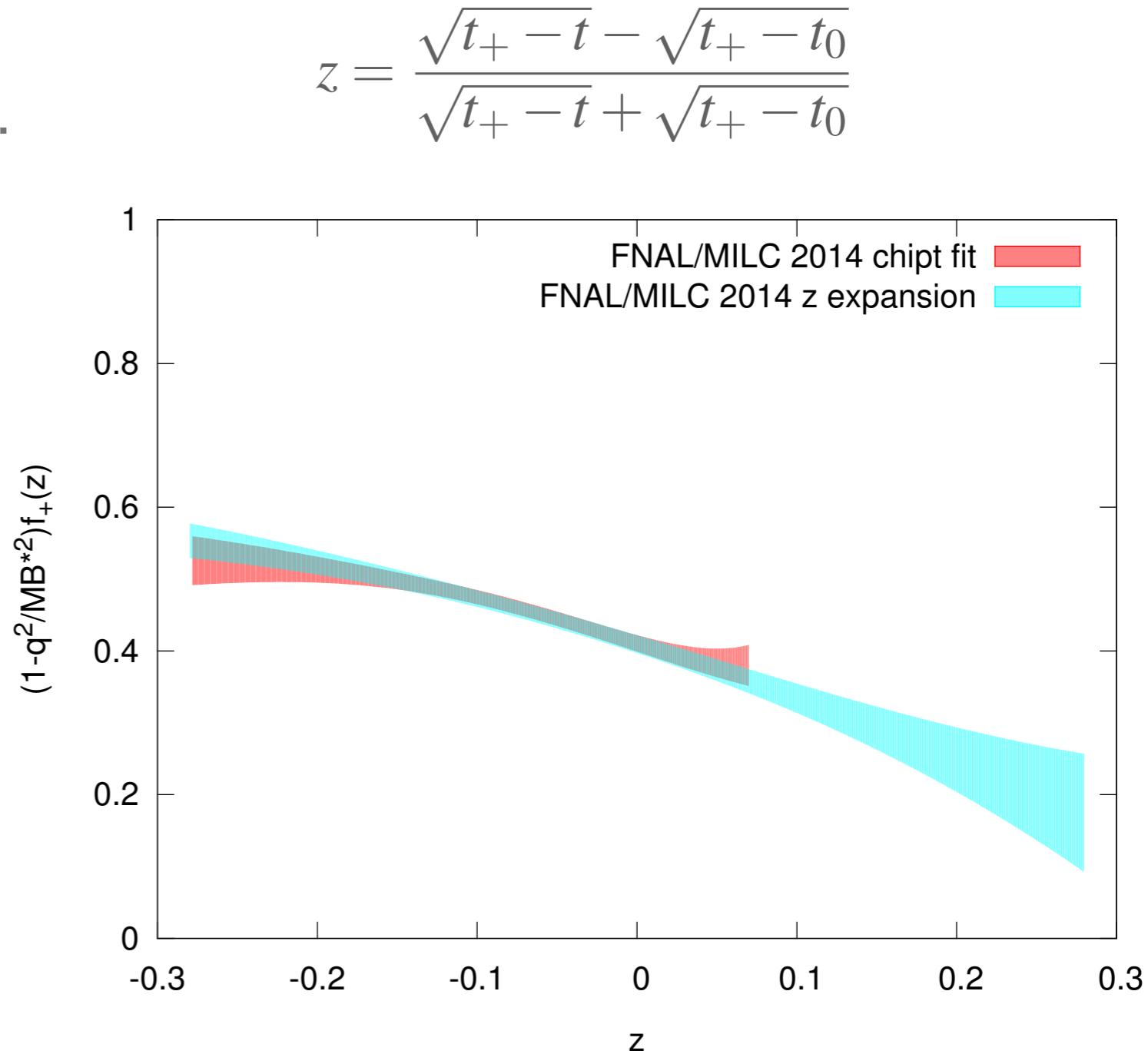
$$z = \frac{\sqrt{t_+ - t} - \sqrt{t_+ - t_0}}{\sqrt{t_+ - t} + \sqrt{t_+ - t_0}}$$

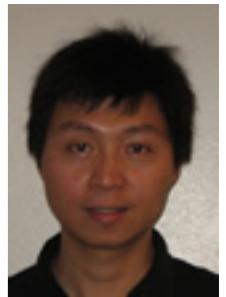




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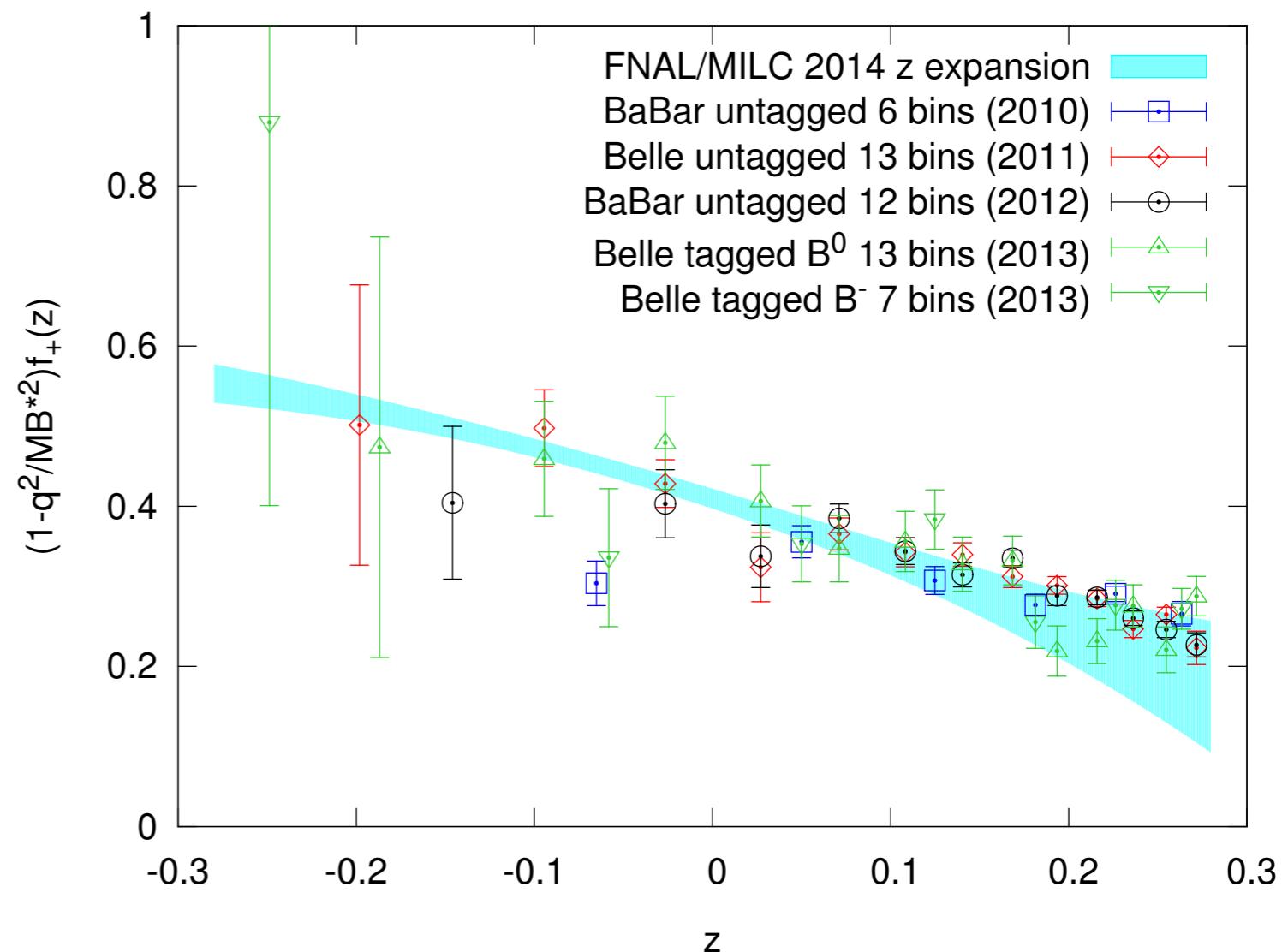


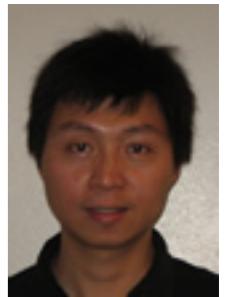


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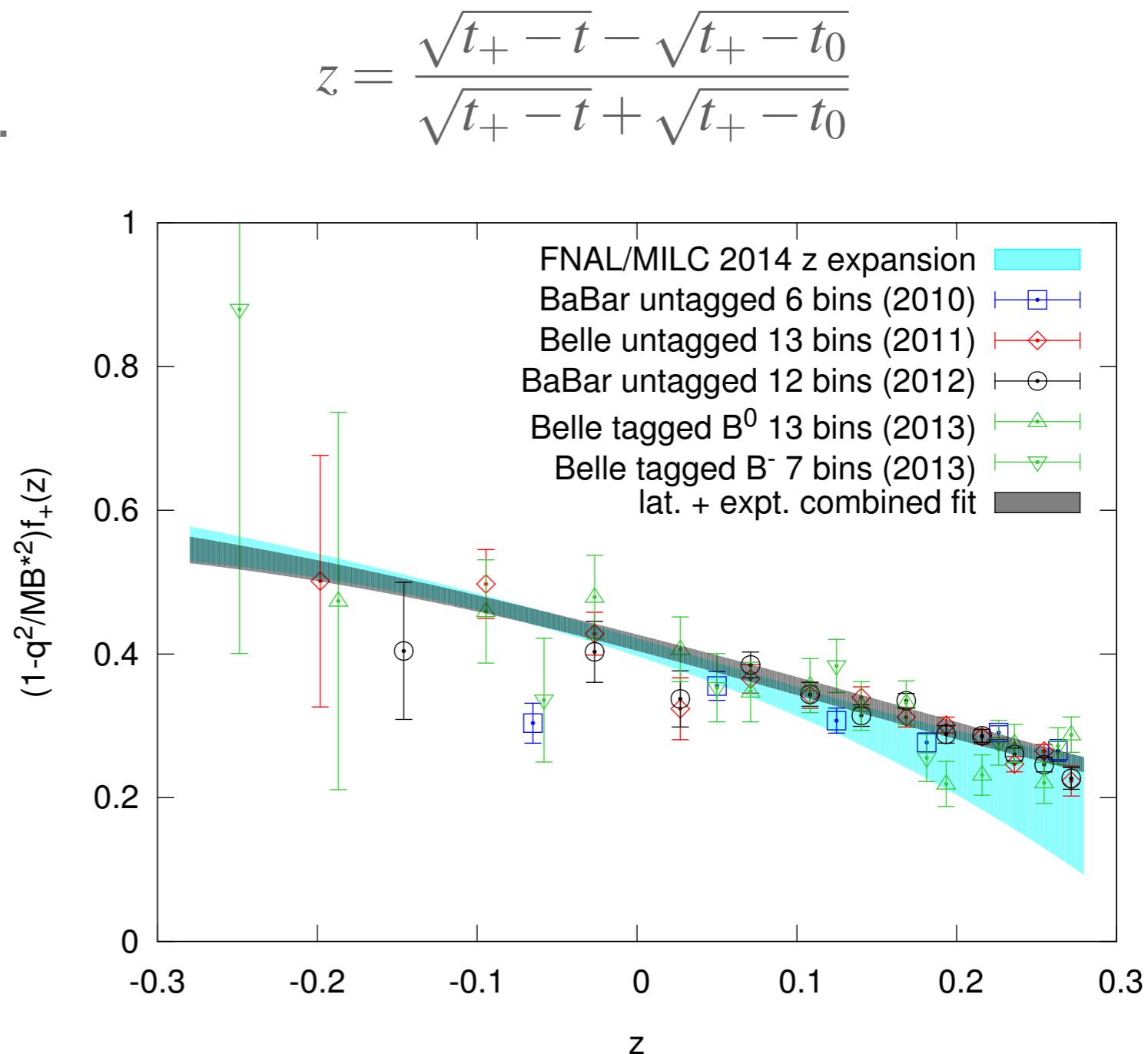
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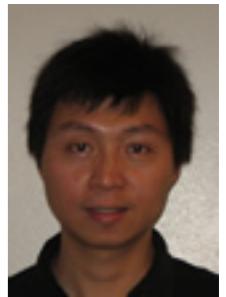




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# Semileptonic $B \rightarrow \pi l \nu$ for $|V_{ub}|$

- Much more precise than 2008.

$$z = \frac{\sqrt{t_+ - t} - \sqrt{t_+ - t_0}}{\sqrt{t_+ - t} + \sqrt{t_+ - t_0}}$$

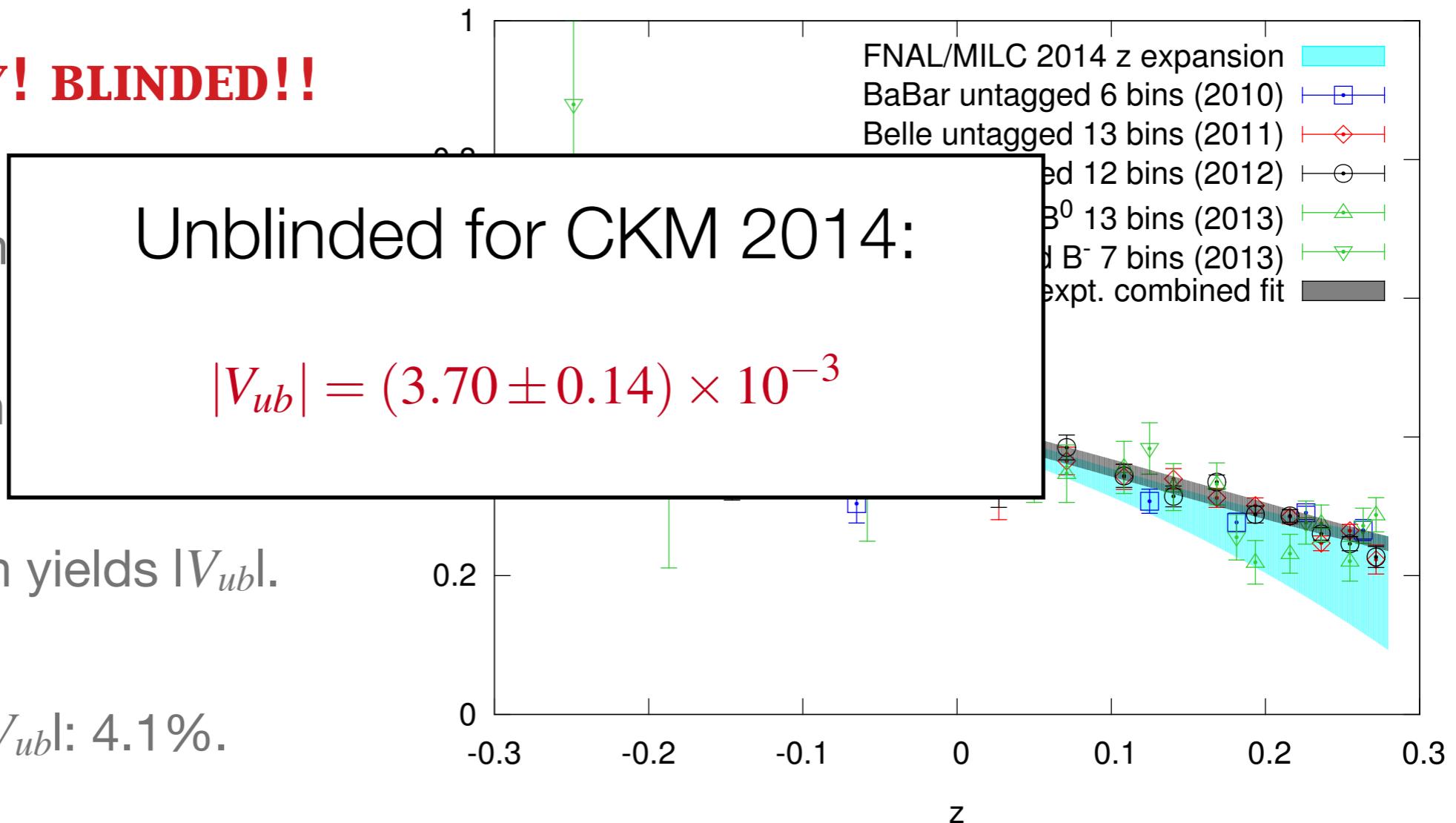
- **PRELIMINARY! BLINDED!!**

- $z$  variable extended

- Functional fitting

- Relative norm'n yields  $|V_{ub}|$ .

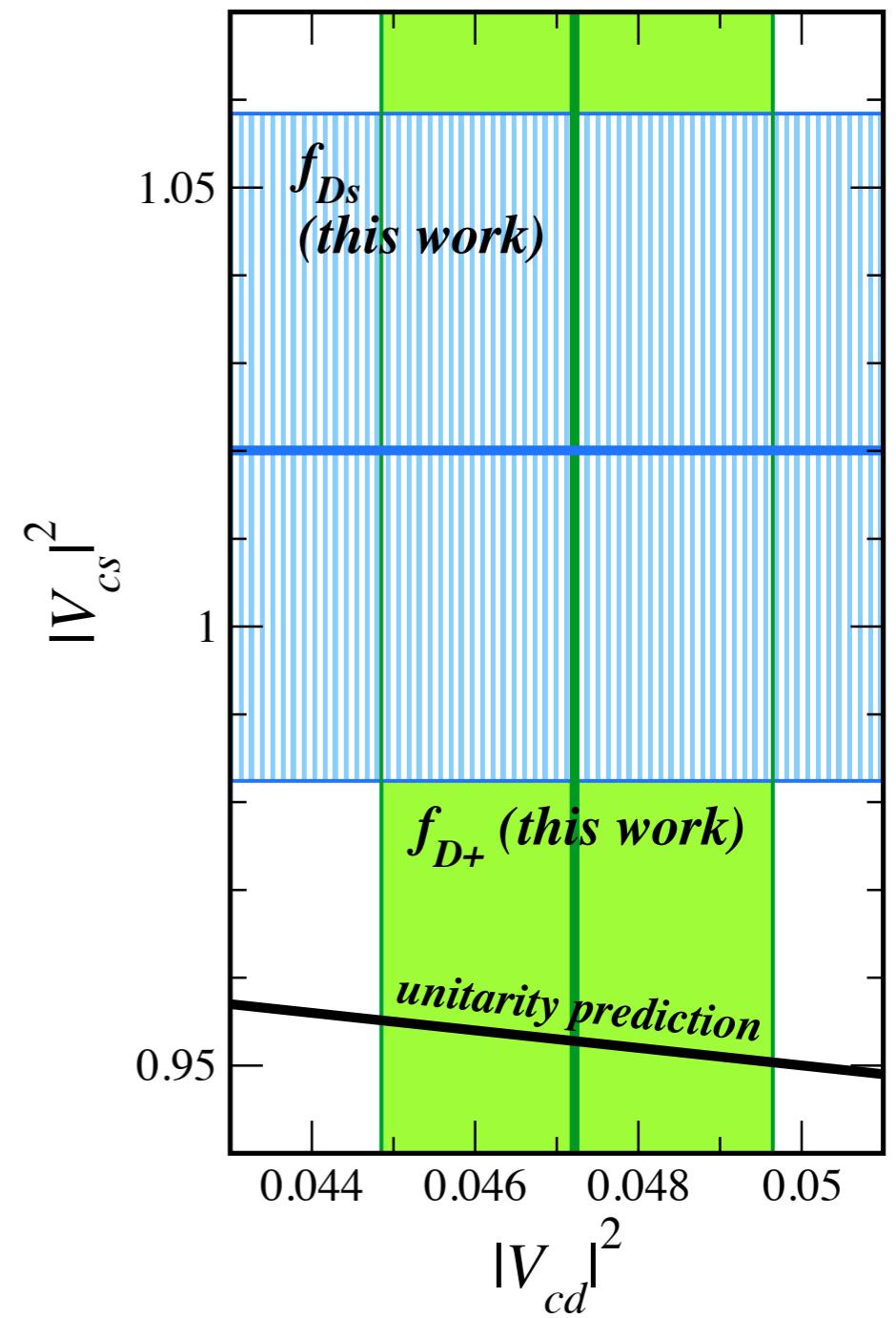
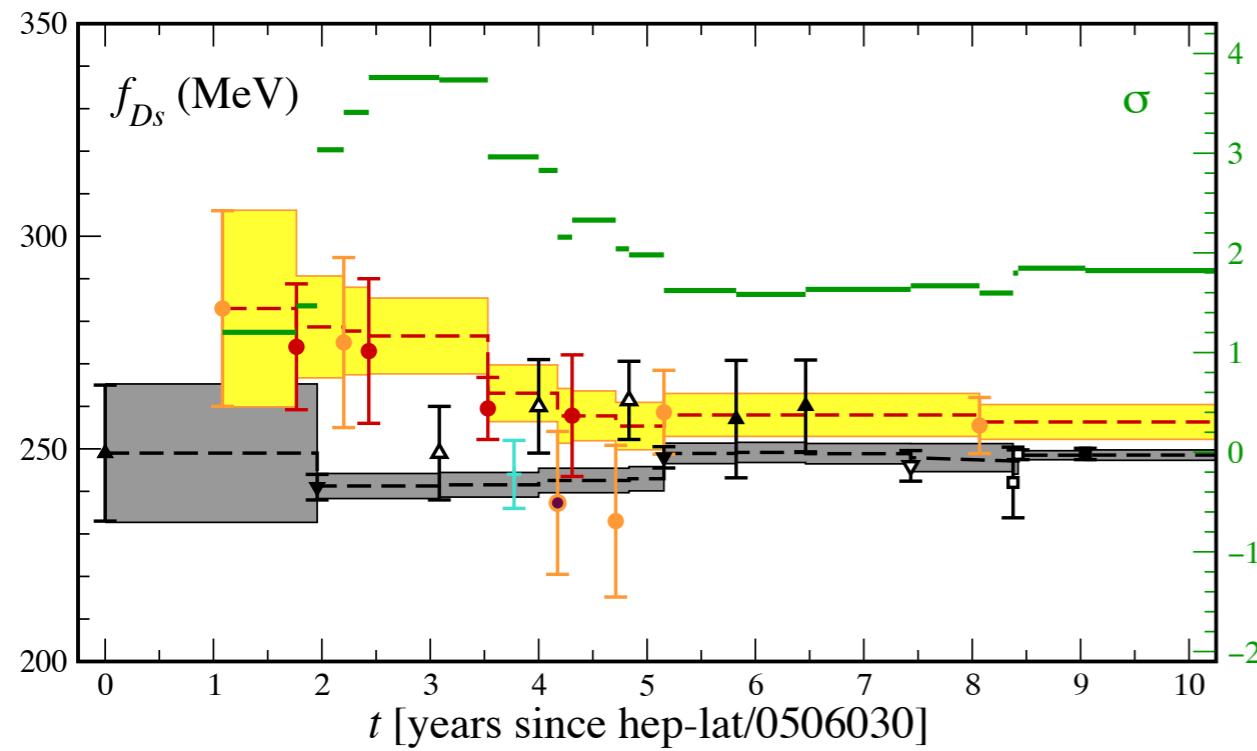
- Total error on  $|V_{ub}|$ : 4.1%.





# Leptonic $D \rightarrow l\nu$ and $D_s \rightarrow l\nu$ for $|V_{cd}|$ for $|V_{cs}|$

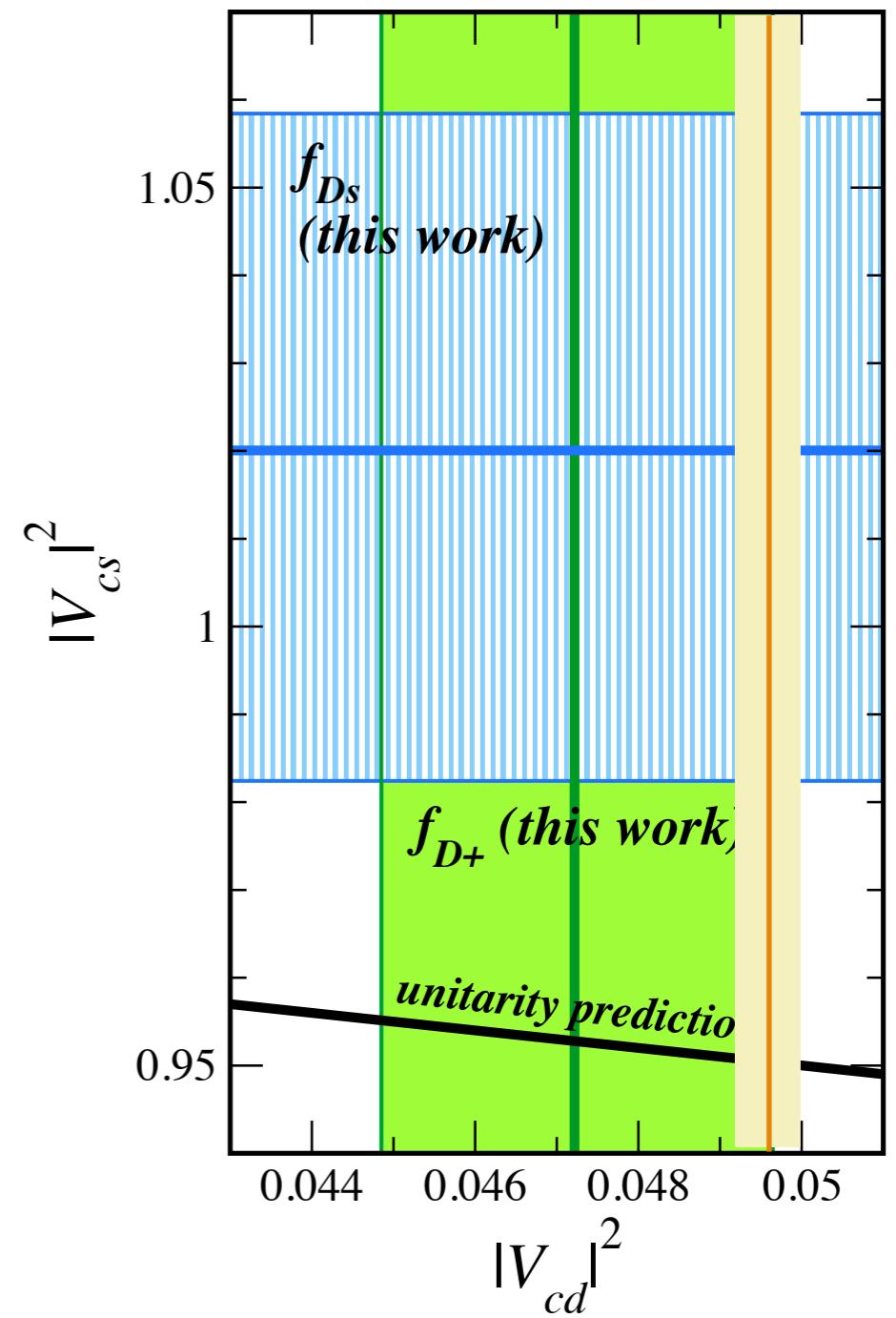
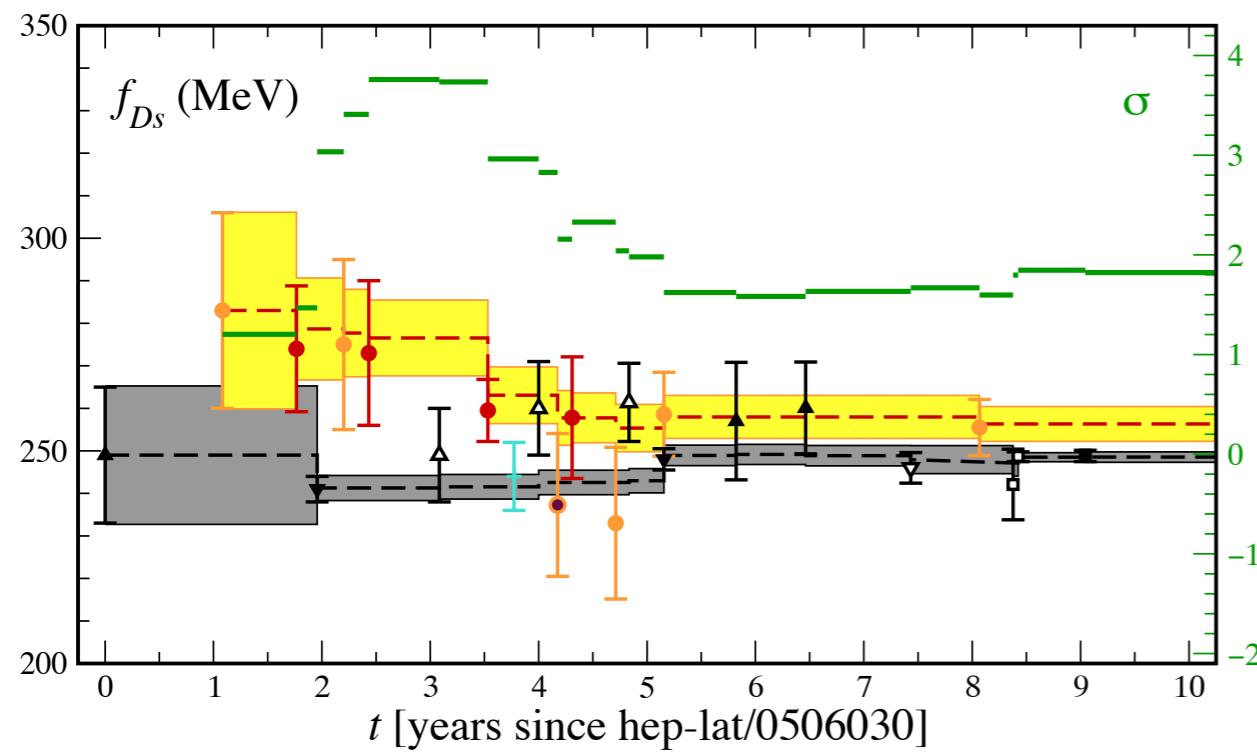
- HISQ action allows us to treat charmed quarks with the same method as strange, down, and up.
- Here the QCD uncertainty is smaller than the experimental uncertainty.





# Leptonic $D \rightarrow l\nu$ and $D_s \rightarrow l\nu$ for $|V_{cd}|$ for $|V_{cs}|$

- HISQ action allows us to treat charmed quarks with the same method as strange, down, and up.
- Here the QCD uncertainty is smaller than the experimental uncertainty.



# Lessons

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- Lattice QCD plays a **key** role in  $|V_{cb}|$ ,  $|V_{ub}|$ ,  $|V_{us}|$ , and  $|V_{cd}|$  &  $|V_{cs}|$ .
- Lattice QCD plays a **crucial** role for neutral-meson mixing ( $K$ ,  $B$ ,  $B_s$ ).
- Suite of experiments and **QCD** theory (including lattice) show that CKM flavor violation and KM CP violation predominate.
- Still room (as well as need) for new physics: tension at  $2\text{--}3\sigma$  level:
  - confidence level of global fit improves more, if NP in kaon mixing [LLV];
  - several distinct discrepancies at this level.

On the Light Cone, Without the Light Cone

# Light-like Separation

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- High-energy physics leads to particles that travel near the light cone.
  - parton densities ( $p\bar{p}$  collisions) & distribution amplitudes ( $B$  decays).
- Euclidean field theory does not have a light cone.
- Can one find Euclidean (and perhaps finite-volume) observables that can be related, via effective field theory to similar information?
- For example, ideas by Ji to obtain the full  $x$  dependence of quark densities [*PRL 110 (2013) 262002*] has been put into practice [[arXiv:1402.1462](https://arxiv.org/abs/1402.1462)].
- Side-steps issues arising when discretizing high-dimension operators.

# Intriguing Examples

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- Can we extend these ideas and calculations to the gluon density?
  - The gluon density is poorly known and essential for LHC discoveries.
- Can we extend these ideas and calculations to the  $B$ -meson distribution amplitude?
  - The “inverse” moment is poorly known and essential for the description on nonleptonic  $B$  decays.

# Beyond QCD

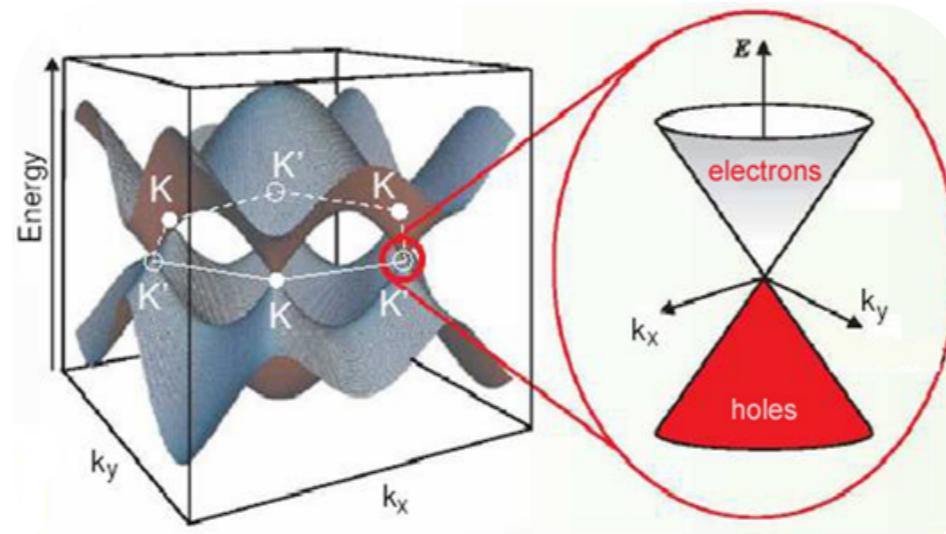
# Dynamical Symmetry Breaking

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- It is still possible that some kind of strong dynamics breaks  $SU(2) \times U_Y(1)$ .
- Needed ingredients:
  - large mass anomalous dimension  $\Rightarrow$  strong coupling;
  - very small  $\beta$  function  $\Rightarrow$  many length scales involved;
  - light scalar boson  $\Leftarrow$  Higgs-like candidate.
- Many length scales call out for effective field theories; strong coupling for lattice gauge theory.

# Optical Lattices with Gauge Symmetries

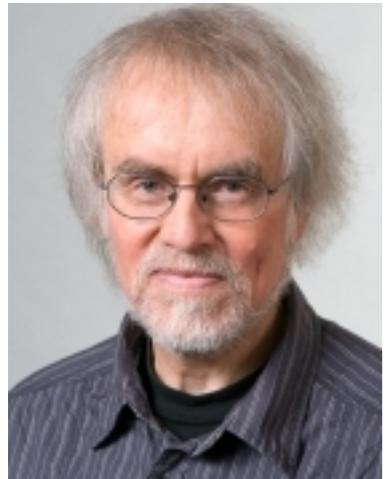
- Relativistic (*i.e.*,  $E = |\mathbf{k}|$ ) band structure in graphene:



- Possible to design optical lattices trapping cold atoms, such that symmetry is non-Abelian, as in lattice QCD [*e.g.*, [arXiv:1211.2704](https://arxiv.org/abs/1211.2704)].
- Use LGT to understand these systems & these systems to understand QCD.
- Activity in this field from Cirac [[arXiv:1407.4995](https://arxiv.org/abs/1407.4995)], Meurice [[arXiv:1403.5238](https://arxiv.org/abs/1403.5238)], and Wiese [[arXiv:1409.7414](https://arxiv.org/abs/1409.7414)].

# Today's Speakers, Session Chairs, and Pralines

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## Kick-off Symposium of Hans Fischer Senior Fellow Dr. Andreas Kronfeld

### *Lattice Gauge Theory and Effective Field Theories*

November 26, 2014 | 14:00 | TUM-IAS | Lichtenbergstr. 2 a, Garching

14:00 -14:15	<b>Gerhard Abstreiter</b> , TUM-IAS Welcome Remarks and Fellowship Nomination	
14:15 -14:45	<b>Andreas Kronfeld</b> , Fermilab <i>"Lattice Gauge Theory and Effective Field Theory"</i>	
14:45 -15:10	<b>Zoltan Fodor</b> , University of Wuppertal <i>"Lattice QCD: Hadron Spectrum and Thermodynamics"</i>	
15:10 -15:35	<b>Simone Biondini</b> , TUM <i>"Effective Field Theories at Nonzero Temperature"</i>	
15:35	Coffee Break	
16:00 -16:25	<b>Stephan Paul</b> , TUM <i>"Challenging Low-Energy QCD: New Insight into the Light-meson Spectrum and Low-Energy Processes with Pions"</i>	
16:25 -16:50	<b>Antonio Vairo</b> , TUM <i>"Determination of <math>\alpha_s</math> from the QCD Static Energy"</i>	
16:50 -17:15	<b>Gerhard Buchalla</b> , LMU <i>"Effective Field Theories Beyond QCD"</i>	
17:15 - 17:40	<b>Siegfried Bethke</b> , Max Planck Institute for Physics <i>"LHC Physics"</i>	
17:45-?	<b>Reception</b>	
Co-organizer: <b>Nora Brambilla</b> , TUM		